

# Meromorphic solutions of certain types of complex functional equations

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**ABSTRACT:** In this paper, we investigate the properties of meromorphic solutions on complex functional equations of Malmquist type of the form

$$\sum_{\{J\}} \alpha_J(z) \left( \prod_{j \in J} w(q^j z) \right) = \frac{a_0(z) + a_1(z)(w \circ p) + \cdots + a_s(z)(w \circ p)^s}{b_0(z) + b_1(z)(w \circ p) + \cdots + b_t(z)(w \circ p)^t},$$

where  $\{J\}$  is a collection of all non-empty subsets of  $\{1, 2, \dots, n\}$ ,  $q \in \mathbb{C}$ ,  $|q| > 1$ , and all coefficients are small functions relative to  $w(z)$  such that  $a_s(z)b_t(z) \neq 0$ ,  $p(z) = d_k z^k + \cdots + d_1 z + d_0$  is a polynomial with constant coefficients  $d_k (\neq 0), \dots, d_1, d_0$  and of degree  $k$ . Furthermore, we prove that the meromorphic solutions having Borel exceptional zeros and poles appear in special situations. Some other  $q$ -difference versions of complex difference equations of Malmquist type are also presented.

**KEYWORDS:** difference equation, functional equation, meromorphic solution, Nevanlinna theory, growth

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## INTRODUCTION

Recently, Ablowitz et al [1] applied Nevanlinna theory to investigate the properties on complex difference equations reminiscent of the classical Malmquist theorem in complex differential equations. A typical example of their results tells us that if a complex difference equation

$$w(z+1) + w(z-1) = R(z, w) \quad (1)$$

with  $R(z, w)$  rational in both arguments admits a transcendental meromorphic solution of finite order, then  $\deg_w R(z, w) \leq 2$ . Heittokangas et al [2] improved and extended the above results, see Propositions 8 and 9, and showed that solutions having Borel exceptional zeros and poles seem to appear in special situations only. Zhang and Huang [3] focused on Theorem 13 in [2] to present exact form of difference equations by proving some results on deficiencies of the meromorphic solutions. Laine et al [4] generalized the key lemma that  $w(z)$  has to be infinite order, provided that  $\deg_w R(z, w) \leq 2$  and that a certain growth condition for the

counting function of distinct poles of  $w(z)$  holds (see [5]) to higher order difference equations of more general type (see [4]), and presented related complex functional equations. The properties on the meromorphic solutions of complex functional difference equations composed with polynomials are also investigated in [6].

Bergweiler et al [7] considered nonlinear  $q$ -difference equation

$$\sum_{j=0}^n a_j(z) w(q^j z) = Q(z), \quad (2)$$

where  $0 < |q| < 1$ ,  $a_j(z) (j = 0, 1, \dots, n)$  and  $Q(z)$  are rational functions with  $a_0(z) \neq 0$ ,  $a_n(z) \equiv 1$ . They gave sufficient conditions for the existence of meromorphic solutions of (2), and also pointed out that all meromorphic solutions of (2) satisfy  $T(r, w) = O((\log r)^2)$ . This implies that all meromorphic solutions of (2) are of zero order of growth.

If  $|q| > 1$ , Gundersen et al [8] showed that the order of growth of generalized Schröder  $q$ -

difference equation

$$w(qz) = R(z, w(z)) \tag{3}$$

is equal to  $\log \deg_w(R) / \log |q|$ , while Zheng and Chen [9] showed that the lower order  $\mu(w)$  of solutions of (4) below is not less than  $\log d_0 / (n \log |q|)$  if  $|q| > 1$ . Now, we recall their results.

**Theorem 1 ([8])** *Suppose that  $w(z)$  is a transcendental meromorphic solution of an equation of the form*

$$w(qz) = R(z, w(z)) = \frac{a_0(z) + a_1(z)w(z) + \dots + a_s(z)w(z)^s}{b_0(z) + b_1(z)w(z) + \dots + b_t(z)w(z)^t},$$

where  $q \in \mathbb{C}$ ,  $|q| > 1$ ,  $R(z, w(z))$  is irreducible in  $w(z)$  with meromorphic coefficients  $a_u(z) (u = 0, 1, \dots, s)$  and  $b_v(z) (v = 0, 1, \dots, t)$  such that  $a_s(z)b_t(z) \neq 0$ . Then

$$\sigma(w) = \frac{\log \deg_w(R)}{\log |q|}.$$

**Theorem 2 ([9])** *Suppose that  $w(z)$  is a transcendental meromorphic solution of equation*

$$\sum_{j=1}^n a_j(z)w(q^j z) = R(z, w(z)) = \frac{P(z, w(z))}{Q(z, w(z))}, \tag{4}$$

where  $q \in \mathbb{C}$ ,  $|q| > 1$ , the coefficients  $a_j(z)$  are rational functions and  $P(z, w(z))$ ,  $Q(z, w(z))$  are relatively prime polynomials in  $w(z)$  over the field of rational functions satisfying  $s = \det_w(P)$ ,  $t = \deg_w(Q)$  and  $d_0 = s - t \geq 2$ . If  $w(z)$  has infinitely many poles, then for sufficiently large  $r$ ,

$$n(r, w) \geq K d_0^{\log r / n \log |q|}$$

holds for some constant  $K$ .

A meromorphic function means meromorphic in the whole complex plane  $\mathbb{C}$ . For a meromorphic function  $w(z)$ , let  $\sigma(w)$  be the order of growth and  $\mu(w)$  be the lower order of  $w(z)$ . Further, let  $\lambda(w)$  (respectively,  $\lambda(1/w)$ ) be the exponent of convergence of the zeros (respectively, poles) of  $w(z)$ . We also assume that the reader is familiar with the standard symbols and fundamental results such as  $m(r, w)$ ,  $N(r, w)$ ,  $\bar{N}(r, w)$  and  $T(r, w)$ , etc., of Nevanlinna theory, see e.g. [10, 11]. We now recall that a meromorphic function  $a(z)$  is said to be a small function relative to  $w(z)$  if  $T(r, a) = S(r, w)$ , where  $S(r, w)$  is used to denote any quantity satisfying  $S(r, w) = o(\{T(r, y)\})$

as  $r \rightarrow \infty$ , possibly outside of a set of finite logarithmic measure, furthermore, possibly outside of a set of logarithmic density 0, i.e. outside of a set  $E$  such that  $\lim_{r \rightarrow \infty} \int_{[1, r] \cap E} \frac{dt}{t} / \log r = 0$ . Moreover, suppose that  $R(z, w(z))$  is rational in  $w(z)$  with small functions relative to  $w(z)$  as its coefficients. We use the notation  $d = \deg_w R(z, w(z))$  for the degree of  $R(z, w(z))$  with respect to  $w(z)$ . In the follows, we always assume that  $R(z, w(z))$  is irreducible in  $w(z)$ .

The present paper mainly deal with functional equations of the general form

$$\sum_{\{J\}} \alpha_J(z) \left( \prod_{j \in J} w(q^j z) \right) = R(z, w \circ p) = \frac{P(z, w \circ p)}{Q(z, w \circ p)} \tag{5}$$

where  $\{J\}$  is a collection of all non-empty subsets of  $\{1, 2, \dots, n\}$ ,  $q \in \mathbb{C}$ ,  $|q| > 1$ ,  $P(z, w)$  and  $Q(z, w)$  are relatively prime polynomials in  $w(z)$ , and all coefficients in (5) are small functions relative to  $w(z)$ ,  $p(z) = d_k z^k + \dots + d_1 z + d_0$  is a polynomial with constant coefficients  $d_k (\neq 0), \dots, d_1, d_0$  and of degree  $k$ . We permit different expressions on both sides of equation (5).

### MEROMORPHIC SOLUTIONS WITH CERTAIN GROWTH CONDITION FOR COUNTING FUNCTION OF DISTINCT POLES

Halburd and Korhonen [5] showed that the existence of sufficiently many meromorphic solutions of finite order is enough to single out a discrete form of the second Painlevé equation from a more general class (1). A key lemma in their reasoning is to show that  $w(z)$  has to be of infinite order, provided that  $\deg_w R(z, w) \leq 2$  and that a certain growth condition for the counting function of distinct poles  $w(z)$  holds. Laine et al [4] extended it into a more general type. Zheng and Chen [9] proved a  $q$ -difference counterpart of the above results. In this section, we proceed to extend Theorem 4 in [9] into a more general type again.

**Theorem 3** *Suppose that  $w(z)$  is a transcendental meromorphic solution of (5), where  $\{J\}$  is a collection of all non-empty subsets of  $\{1, 2, \dots, n\}$ ,  $q \in \mathbb{C}$ ,  $|q| > 1$ ,  $P(z, w(z))$  and  $Q(z, w(z))$  are relatively prime polynomials in  $w(z)$ , and all coefficients in (5) are small functions relative to  $w(z)$ . Moreover, we assume that  $t = \deg_w(Q) > 0$ ,  $b_t(z) \equiv 1$ , and*

$$n = \max\{s, t\} := \max\{\deg_f(P), \deg_f(Q)\}.$$

If there exists  $\alpha \in [0, n)$  such that, for all sufficiently

large  $r$ ,

$$\sum_{j=1}^n \bar{N}(r, w(q^j z)) \leq \alpha \bar{N}(r, w(z)), \tag{6}$$

then the order of growth  $\sigma(w) > 0$  and

$$Q(z, w(z)) = (w(z) - s(z))^t,$$

where  $s(z)$  is a small function relative to  $w(z)$ .

At this point, we first need to recall the following lemmas. Weisseborn obtained the following result.

**Lemma 1 ([12])** Let  $f(z)$  be a meromorphic function and  $\phi$  be given by

$$\begin{aligned} \phi &= w^n + a_{n-1}w^{n-1} + \dots + a_0, \\ T(r, a_j) &= S(r, w), \quad j = 0, 1, \dots, n-1. \end{aligned}$$

Then either

$$\phi \equiv \left(w + \frac{a_{n-1}}{n}\right)^n,$$

or

$$T(r, w) \leq \bar{N}\left(r, \frac{1}{\phi}\right) + \bar{N}(r, w) + S(r, w).$$

**Lemma 2 ([4])** Let  $f(z)$  be a nonconstant meromorphic function and let  $P(z, w)$ ,  $Q(z, w)$  be two polynomials in  $w(z)$  with meromorphic coefficients small relative to  $w(z)$ . If  $P(z, w)$  and  $Q(z, w)$  have no common factors of positive degree in  $w(z)$  over the field of small functions relative to  $w(z)$ , then

$$\bar{N}\left(r, \frac{1}{Q(z, w)}\right) \leq \bar{N}\left(r, \frac{P(z, w)}{Q(z, w)}\right) + S(r, w).$$

**Lemma 3 ([13])** Given distinct meromorphic functions  $w_1, \dots, w_n$ , let  $\{J\}$  denote the collection of all non-empty subsets of  $\{1, 2, \dots, n\}$ , and suppose that  $\alpha_j \in \mathbb{C}$  for each  $J \in \{J\}$ . Then

$$T\left(r, \sum_{\{J\}} \alpha_J \left(\prod_{j \in J} w_j\right)\right) \leq \sum_{k=1}^n T(r, w_k) + O(1)$$

**Lemma 4 ([14])** If  $T: \mathbb{R}^+ \rightarrow \mathbb{R}^+$  is a piecewise continuous increasing function such that

$$\lim_{r \rightarrow \infty} \frac{\log T(r)}{\log r} = 0,$$

then the set

$$E := \{r : T(C_1 r) \geq C_2 T(r)\}$$

has logarithmic density 0 for all  $C_1 > 1$  and  $C_2 > 1$ .

**Remark 1** By using similar method of Theorem 1.1 and Theorem 1.3 in [15] and  $q$ -difference version of lemma on logarithmic derivatives [16, 17], we deduce from Lemma 4 that, for  $|q| > 1$ ,

$$\begin{aligned} T(r, w(qz)) &= T(r, w(z)) + S(r, w) \quad \text{and} \\ \bar{N}(r, w(qz)) &= \bar{N}(r, w(z)) + S(r, w) \end{aligned}$$

on a set of logarithmic density 1.

*Proof of Theorem 3:* Assume that the second alternative of the assertion is incorrect. Then, we deduce from Lemmas 1–3, (5) and (6) that

$$\begin{aligned} T(r, w) &\leq \bar{N}\left(r, \frac{1}{Q(z, w)}\right) + \bar{N}(r, w(z)) + S(r, w) \\ &\leq \bar{N}\left(r, \frac{P(z, w)}{Q(z, w)}\right) + \bar{N}(r, w(z)) + S(r, w) \\ &= \bar{N}\left(r, \sum_{\{J\}} \alpha_J(z) \left(\prod_{j \in J} w(q^j z)\right)\right) + \bar{N}(r, w(z)) + S(r, w) \\ &\leq \sum_{j=1}^n \bar{N}(r, w(q^j z)) + \bar{N}(r, w(z)) + S(r, w) \\ &\leq \alpha \bar{N}(r, w(z)) + \bar{N}(r, w(z)) + S(r, w). \end{aligned}$$

Therefore,

$$T(r, w) - \bar{N}(r, w(z)) \leq \alpha \bar{N}(r, w(z)) + S(r, w).$$

Now, assume in contrary to the assertion that  $\sigma(w) = 0$ , we get from Remark 1 that for all  $j = 1, 2, \dots, n$ ,  $S(r, w(q^j z)) = S(r, w)$  and

$$\begin{aligned} T(r, w(q^j z)) - \bar{N}(r, w(q^j z)) &\leq \alpha \bar{N}(r, w(q^j z)) + S(r, w) \\ &= \alpha \bar{N}(r, w(z)) + S(r, w) \tag{7} \end{aligned}$$

on a set of logarithmic density 1.

We also conclude from Remark 1, Lemma 3, (6) and (7) that

$$\begin{aligned} nT(r, w) &= T\left(r, \sum_{\{J\}} \alpha_J(z) \left(\prod_{j \in J} w(q^j z)\right)\right) + S(r, w) \\ &\leq \sum_{j=1}^n T(r, w(q^j z)) + S(r, w) \\ &= \sum_{j=1}^n [T(r, w(q^j z)) - \bar{N}(r, w(q^j z))] \\ &\quad + \sum_{j=1}^n \bar{N}(r, w(q^j z)) + S(r, w) \\ &\leq \sum_{j=1}^n \alpha \bar{N}(r, w(z)) + \alpha \bar{N}(r, w(z)) + S(r, w) \\ &= (n+1)\alpha \bar{N}(r, w(z)) + S(r, w) \tag{8} \end{aligned}$$

on a set of logarithmic density 1. Thus,

$$T(r, w) - \bar{N}(r, w(z)) \leq \frac{n+1}{n} \alpha \bar{N}(r, w(z)) - \bar{N}(r, w(z)) + S(r, w) \quad (9)$$

on a set of logarithmic density 1.

Moreover, we obtain from (6), (8), (9) and Remark 1 that

$$\begin{aligned} nT(r, w) &\leq \sum_{j=1}^n [T(r, w(q^j z)) - \bar{N}(r, w(q^j z))] \\ &\quad + \sum_{j=1}^n \bar{N}(r, w(q^j z)) + S(r, w) \\ &\leq \sum_{j=1}^n \left[ \frac{n+1}{n} \alpha \bar{N}(r, w(q^j z)) - \bar{N}(r, w(q^j z)) \right] \\ &\quad + \alpha \bar{N}(r, w(z)) + S(r, w) \\ &= (n+2) \alpha \bar{N}(r, w(z)) - n \bar{N}(r, w(z)) + S(r, w) \end{aligned}$$

on a set of logarithmic density 1. Thus,

$$T(r, w) - \bar{N}(r, w(z)) \leq \frac{n+2}{n} \alpha \bar{N}(r, w(z)) - 2 \bar{N}(r, w(z)) + S(r, w)$$

on a set of logarithmic density 1. By repeating this process for  $m$  times, we deduce that

$$T(r, w) - \bar{N}(r, w(z)) \leq \frac{n+m}{n} \alpha \bar{N}(r, w(z)) - m \bar{N}(r, w(z)) + S(r, w) \quad (10)$$

on a set of logarithmic density 1. Since  $\alpha \in [0, n)$ , we immediately see from (10) that, for sufficiently large  $m$ ,

$$\bar{N}(r, w(z)) \leq \frac{n+m}{n(m-1)} \alpha \bar{N}(r, w(z)) < \bar{N}(r, w(z)),$$

on a set of logarithmic density 1, a contradiction.

On the other hand, if the second alternative of the assertion is valid, then we must have  $\sigma(w) > 0$ . Otherwise, by Remark 1 and the  $q$ -version of Mo-hon'ko lemma, we again obtain a contradiction.  $\square$

**MEROMORPHIC SOLUTIONS WITH FINITELY MANY POLES**

Theorem 3 shows that either the order of growth  $\sigma(w) > 0$ , and

$$Q(z, w(z)) = (w(z) - s(z))^t,$$

provided that  $\deg_w Q(z, w) > 0$  and that a certain growth condition for the counting function of distinct poles  $w(z)$  holds. However, if  $w(z)$  just has finitely many zeros, we further obtain the following theorem.

**Theorem 4** Suppose that  $w(z)$  is a transcendental meromorphic solution of (5), where  $\{J\}$  is a collection of all non-empty subsets of  $\{1, 2, \dots, n\}$ ,  $q \in \mathbb{C}$ ,  $|q| > 1$ ,  $P(z, w(z))$  and  $Q(z, w(z))$  are relatively prime polynomials in  $w(z)$ , and all coefficients in (5) are rational functions. Moreover, we assume that  $t = \deg_w(Q) > 0$  and  $b_t(z) \equiv 1$ . If  $w(z)$  has finitely many poles only, then

- (1)  $Q(z, w(z)) = (w(z) - s(z))^t$ , where  $s(z)$  is a rational function;
- (2)  $w(z)$  must be of the form

$$w(z) = r(z) e^{g(z)} + s(z), \quad (11)$$

where  $s(z)$  is a rational function,  $r(z)$  is a small function relative to  $w(z)$ ,  $g(z)$  is a transcendental entire function satisfying a  $q$ -difference equation of the form

$$k_0 g(z) + k_1 g(qz) + \dots + k_n g(q^n z) = \tau,$$

where  $\tau \in \mathbb{C}$ , and  $k_j, j \in \{0, 1, \dots, n\}$  are integers and not identically zeros.

We firstly recall the following lemmas.

**Lemma 5 ([18])** Suppose that  $w_1(z), w_2(z), \dots, w_n(z)$  are meromorphic functions and that  $g_1(z), g_2(z), \dots, g_n(z)$  are entire functions satisfying the following conditions.

- (i)  $\sum_{j=1}^n w_j(z) e^{g_j(z)} \equiv 0$ ;
- (ii)  $g_j(z) - g_k(z)$  are not constants for  $1 \leq j < k \leq n$ ;
- (iii) for  $1 \leq j \leq n, 1 \leq h < k \leq n$ ,

$$T(r, w_j) = o\{T(r, e^{g_h - g_k})\} \quad (r \rightarrow \infty, r \notin E),$$

where  $E \subset (1, \infty)$  is of finite linear measure or finite logarithmic measure.

Then  $w_j(z) \equiv 0$  ( $j = 1, 2, \dots, n$ ).

*Proof of Theorem 4:* (1) Since  $P(z, w(z))$  and  $Q(z, w(z))$  are relatively prime polynomials in  $w(z)$  with coefficients are rational functions, it follows from Lemma 2 that  $P(z, w(z))$  and  $Q(z, w(z))$  have finitely many common zeros only. Thus, from (5),

Lemma 3 and the assumption that  $w(z)$  has finitely many poles, we conclude that

$$\begin{aligned} N\left(r, \frac{1}{Q(z, w(z))}\right) &\leq N\left(r, \frac{P(z, w(z))}{Q(z, w(z))}\right) + O(\log r) \\ &= N\left(r, \sum_{\{J\}} \alpha_J(z) \left(\prod_{j \in J} w(q^j z)\right)\right) + O(\log r) \\ &\leq \sum_{j=1}^n N(r, w(q^j z)) + O(\log r) = O(\log r). \end{aligned} \quad (12)$$

Thus, we deduce from Lemma 1 that

$$Q(z, w(z)) = (w(z) - s(z))^t,$$

where  $s(z)$  is a rational function.

(2) Since  $w(z)$  is transcendental with finitely many poles only, so is  $Q(z, w(z)) = (w(z) - s(z))^t$ . We also note that  $Q(z, w(z)) = (w(z) - s(z))^t$  has finitely many zeros only from (12). Thus, there exists a rational function  $h(z)$  and a nonconstant entire function  $k(z)$  such that

$$w(z) - s(z) = \beta h(z)^{1/t} e^{k(z)/t},$$

where  $\beta$  is the  $t$ -th root of unity. Denoting  $g(z) = k(z)/t$ , and noting that  $r(z) := \beta h(z)^{1/t}$  is small function relative to  $w(z)$ , we get the desired form (11).

Now, substituting (11) into (5), and noting that  $Q(z, f(z)) = (f(z) - s(z))^t$ , we conclude an equation of form

$$\begin{aligned} h(z) \alpha_M(z) \left(\prod_{j \in M} r(q^j z)\right) \exp\left(tg(z) + \sum_{j \in M} g(q^j z)\right) \\ + \sum_{J \in \{K\}} H_J(z) \exp\left(tg(z) + \sum_{j \in J} g(q^j z)\right) \\ = \sum_{j=0}^s p_j^*(z) \exp(jg(z)), \end{aligned} \quad (13)$$

where the cardinality of the set  $M$  is maximal among the sets in the collection  $\{J\}$  such that  $\alpha_M(z) \neq 0$ ,  $\{K\}$  is a collection of non-empty subsets of  $\{1, 2, \dots, n\}$  such that  $M \not\subseteq \{K\}$ ,  $H_J(z)$  is rational function for every  $J$ ,  $p_j^*(z) (j = 0, 1, \dots, s)$  are rational functions with  $p_s^*(z) \neq 0$ . Therefore, we deduce from Lemma 5 that there must exist at least two exponents in (13) that cancel each other to a constant  $\tau \in \mathbb{C}$  such that

$$\begin{aligned} \sum_{j=1}^n g(q^j z) &= \sum_{j \in K} g(q^j z) + \tau, \quad \text{or} \\ \sum_{j=1}^n g(q^j z) &= (j_0 - t)g(z) + \tau. \end{aligned}$$

These mean that there are at most  $n + 1$  integers  $k_0, k_1, \dots, k_n$ , which are not identically zeros such that

$$k_0 g(z) + k_1 g(qz) + \dots + k_n g(q^n z) = \tau.$$

In the follows, we prove that  $g(z)$  is a transcendental entire function. Assume that  $g(z) = c_k z^k + c_{k-1} z^{k-1} + \dots + c_1 z + c_0$  is a nonconstant polynomial with degree  $k$ . Then for every  $j \in \{1, 2, \dots, n\}$ , we may write

$$g(q^j z) = a_k q^{jk} g(z) + g_j(z), \quad (14)$$

where  $g_j(z) (j = 1, 2, \dots, n)$  are polynomials in  $z$  with degree no greater than  $k - 1$ . Substituting (11) and (14) into (5) again, we conclude that

$$\begin{aligned} h(z) e^{tg(z)} \sum_{\{J\}} \alpha_J(z) \prod_{j \in J} \left(r(q^j z) e^{g_j(z)} e^{a_k q^{jk} g(z)} + s(q^j z)\right) \\ = \sum_{j=0}^p a_j(z) (r(z) e^{g(z)} + s(z))^j. \end{aligned}$$

Since polynomials  $P(z, w(z))$  and  $Q(z, w(z))$  are relatively prime, there is no common factor of positive degree in  $w(z)$  for  $P(z, w(z))$  and  $Q(z, w(z))$ . But, we deduce from Lemma 5 that  $\sum_{j=0}^p a_j(z) s(z)^j \equiv 0$ , a contradiction.  $\square$

### MEROMORPHIC SOLUTIONS WITH FEW POLES AND ZEROS

Gundersen et al [8] proved the reduction theorems for functional equation of the form

$$\begin{aligned} w(qz) &= R(z, w(z)) \\ &= \frac{a_0(z) + a_1(z)w(z) + \dots + a_s(z)w(z)^s}{b_0(z) + b_1(z)w(z) + \dots + b_t(z)w(z)^t}, \end{aligned}$$

which admits meromorphic solutions with relatively few distinct zeros and poles only, see Theorem 5.2 in [8]. As an application of Tumura-Clunie theorem, Rieppo extended the above result and proved the reduction theorems for certain functional equation that admit meromorphic solutions with relatively few distinct poles only [19]. The reasoning relies on the combination of Nevanlinna theory and algebraic field theory.

We now proceed to consider the reduction theorems for functional equations of form

$$\begin{aligned} \prod_{i=0}^n w(q^i z)^{\lambda_i} &= R(z, w \circ p) \\ &= \frac{a_0(z) + a_1(z)(w \circ p) + \dots + a_s(z)(w \circ p)^s}{b_0(z) + b_1(z)(w \circ p) + \dots + b_t(z)(w \circ p)^t}, \end{aligned} \quad (15)$$

where  $p(z) = d_k z^k + \dots + d_1 z + d_0$  is a polynomial with constant coefficients  $d_k (\neq 0), \dots, d_1, d_0$  and of degree  $k$ ,  $I$  is a finite set of multi-indexes  $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_n)$ , and all coefficients in (15) are small meromorphic functions relative to  $w(z)$  such that  $a_s(z)b_t(z) \neq 0$ . The following results tell us that solutions having Borel exceptional zeros and poles appear in special situations only.

**Theorem 5** Let  $q \in \mathbb{C}$ ,  $|q| > 1$ , and  $w(z)$  be a transcendental meromorphic solution of (15). If

$$\max \left\{ \lambda(w), \lambda \left( \frac{1}{w} \right) \right\} < \sigma(w), \quad (16)$$

then (15) is either of the form

$$\prod_{i=0}^n w(q^i z)^{\lambda_i} = \alpha \frac{a_s(z)}{b_0(z)} (w \circ p)^{n+1} \quad \text{or}$$

$$\prod_{i=0}^n w(q^i z)^{\lambda_i} = \alpha \frac{a_0(z)}{b_t(z)} \frac{1}{(w \circ p)^{n+1}}, \quad (17)$$

where  $\alpha$  is some nonzero constant.

We now give Example 1 and Example 2 to show Theorem 5 is sharp. Example 3 shows that condition (16) is necessary and cannot be replaced by

$$\min \left\{ \lambda(w), \lambda \left( \frac{1}{w} \right) \right\} < \sigma(w).$$

**Example 1** Let  $w(z) = e^z$ . Then  $\lambda(w) = \lambda(1/w) = 0 < 1 = \sigma(w)$  and  $w(z)$  solves equation

$$w(z)^4 w(2z)^2 = w(4z)^2 \quad \text{or} \quad w(z)^4 w(-2z)^4 = \frac{1}{w(2z)^2},$$

which is the form of (17).

**Example 2** Let  $w(z) = e^z$ . Then  $\lambda(w) = \lambda(1/w) = 0 < 1 = \sigma(w)$  and  $w(z)$  solves equation

$$w(z)w(-2z)w(4z) = w(z)^3 \quad \text{or} \quad w(z)w(-3z) = \frac{1}{w(z)^2},$$

which is the form of (17).

**Example 3**  $w(z) = \cos z$  solves the equation

$$w(2z)w(4z) = 2w(2z)^3 - w(2z).$$

Clearly,  $\lambda(1/w) = 0 < 1 = \lambda(w) = \sigma(w)$ .

However, if we consider the inverse problem of Theorem 5, we can use similar techniques of Theorem 5.3 in [8] and Theorem 2 in [20] to get the following result.

**Theorem 6** Let  $q \in \mathbb{C}$ ,  $|q| > 1$ ,  $c(z)$  be nontrivial meromorphic functions, and  $w(z)$  be a transcendental meromorphic solution of equation

$$\prod_{i=0}^n w(q^i z)^{\lambda_i} = c(z)w(z)^m, \quad m \in \mathbb{Z} \setminus \{0\}. \quad (18)$$

If  $\sigma(c) < \sigma(w)$ , then

$$\max \left\{ \bar{\lambda}(w), \bar{\lambda} \left( \frac{1}{w} \right) \right\} < \sigma(w).$$

We now proceed to prepare some Lemmas.

**Lemma 6 ([21])** Let  $w(z)$  be a transcendental meromorphic function,  $p(z) = d_k z^k + \dots + d_1 z + d_0$  ( $d_k \neq 0$ ) be a polynomial of degree  $k$ . Given  $0 < \delta < |d_k|$ , denote  $\nu := |d_k| + \delta$  and  $\mu := |d_k| - \delta$ . Then, given  $\varepsilon > 0$  and  $a \in \mathbb{C} \cup \{\infty\}$ , we have for all  $r \geq r_0 > 0$ ,

$$\begin{aligned} kn(\mu r^k, a, w) &\leq n(r, a, w \circ p) \leq kn(\nu r^k, a, w), \\ kN(\mu r^k, a, w) + O(\log r) &\leq N(r, a, w \circ p) \\ &\leq kn(\nu r^k, a, w) + O(\log r), \\ (1 - \varepsilon)T(\mu r^k, w) &\leq T(w \circ p) \leq (1 + \varepsilon)T(\nu r^k, w). \end{aligned}$$

**Lemma 7 ([22])** Suppose that  $w(z)$  is a transcendental meromorphic solution of equation

$$\sum_{j=0}^n a_j(z)w(q^j z) = Q(z),$$

where  $q \in \mathbb{C}$ ,  $|q| \neq 0, 1$ , and all coefficients  $a_0, \dots, a_n, Q$  are meromorphic and of finite order  $\leq \rho$ . Then  $\sigma(w) \leq \rho$ .

*Proof of Theorem 5:* Let  $\tau$  be the multiplicity of pole of  $w(z)$  at the origin, and let  $q(z)$  be a canonical product of  $w(z)$  formed by the nonzero poles of  $w(z)$ . Since  $\max \{ \lambda(w), \lambda(1/w) \} < \sigma(w)$ , then  $h(z) = z^\tau q(z)$  is an entire function such that

$$\sigma(h) = \lambda \left( \frac{1}{w} \right) < \sigma(w) \quad (19)$$

and  $g(z) = h(z)w(z)$  is a transcendental entire function with

$$\begin{aligned} T(r, g) &= T(r, w) + S(r, w), \\ \sigma(g) &= \sigma(w), \quad \lambda(g) = \lambda(w). \end{aligned} \quad (20)$$

We now conclude from the last assertion of Lemma 6, (19) and (20) that

$$\sigma(h \circ p) = k\sigma(h) = k\lambda \left( \frac{1}{w} \right) < k\sigma(g) = \sigma(g \circ p).$$

Therefore,

$$T(r, h \circ p) = S(r, g \circ p). \tag{21}$$

Substituting  $w(z) = g(z)/h(z)$  into (15), we conclude that

$$\frac{(h \circ p)^{s-t}}{\prod_{i=0}^n h(q^i z)^{\lambda_i}} \prod_{i=0}^n g(q^i z)^{\lambda_i} = \frac{a_0(z)(h \circ p)^s + \dots + a_s(z)(g \circ p)^s}{b_0(z)(h \circ p)^t + \dots + b_t(z)(g \circ p)^t}. \tag{22}$$

Obviously, it follows from (19), (20) and (21) that

$$\begin{cases} T\left(r, \prod_{i=0}^n h(q^i z)^{\lambda_i}\right) = S(r, g \circ p), \\ T(r, (h \circ p)^{s-t}) = S(r, g \circ p), \\ T(r, a_u(z)(h \circ p)^{s-u}) = S(r, g \circ p), \quad u = 0, 1, \dots, s, \\ T(r, b_v(z)(h \circ p)^{t-v}) = S(r, g \circ p), \quad v = 0, 1, \dots, t. \end{cases} \tag{23}$$

Denoting  $A(z) = (h \circ p)^{s-t} / \prod_{i=0}^n h(q^i z)^{\lambda_i}$ , we get from (23) that

$$T(r, A) = S(r, g \circ p). \tag{24}$$

Since zeros and poles are Borel exceptional values of  $w(z)$  by (16), we may apply a result due to Whittaker, see Satz 13.4 in [23], to deduce that  $w(z)$  is of regular growth. Thus, we use (23) again to get

$$\begin{aligned} T\left(r, \frac{w'}{w}\right) &= \bar{N}(r, w) + \bar{N}\left(r, \frac{1}{w}\right) + S(r, w) \\ &= S(r, g \circ p). \end{aligned} \tag{25}$$

Similarly, if we set  $B(z) = A(z) \left(\prod_{i=0}^n g(q^i z)^{\lambda_i}\right)$ , we also deduce from the lemma of the logarithmic derivative, (16), (20) and (24) that

$$\begin{aligned} T\left(r, \frac{B'}{B}\right) &= T\left(r, \frac{A'}{A} + \sum_{i=0}^n \lambda_i q^i \frac{g'(q^i z)}{g(q^i z)}\right) \\ &= S(r, g \circ p). \end{aligned} \tag{26}$$

Denote  $F(z) = g \circ p$ ,

$$P(z, F) = \frac{a_0(z)}{a_s(z)}(h \circ p)^s + \frac{a_1(z)}{a_s(z)}(h \circ p)^{s-1}F(z) + \dots + F(z)^s,$$

and

$$Q(z, F) = \frac{b_0(z)}{b_t(z)}(h \circ p)^t + \frac{b_1(z)}{b_t(z)}(h \circ p)^{t-1}F(z) + \dots + F(z)^t.$$

Therefore, we deduce from (20) and (21) that the coefficients of  $P(z, F)$  and  $Q(z, F)$  are small functions relative to  $g \circ p$ . Thus, (22) can be written in the form

$$\frac{b_t(z)}{a_s(z)}B(z) = \frac{P(z, F)}{Q(z, F)} = u(z, F). \tag{27}$$

By denoting

$$\psi(z) = \frac{F'(z)}{F(z)} \quad \text{and} \quad U(z) = \frac{u'(z, F)}{u(z, F)},$$

we get  $T(r, U) = S(r, w)$  from (26) and (27). We also conclude from the lemma of logarithmic derivative, Lemma 6, (16), (20) and (21) that

$$\begin{aligned} T(r, \psi) &= T\left(r, \frac{F'}{F}\right) = m\left(r, \frac{F'}{F}\right) + N\left(r, \frac{F'}{F}\right) \\ &\leq \bar{N}(r, F) + \bar{N}\left(r, \frac{1}{F}\right) + S(r, F) \\ &= \bar{N}(r, g \circ p) + \bar{N}\left(r, \frac{1}{g \circ p}\right) + S(r, g \circ p) \\ &\leq N\left(r, \frac{1}{g \circ p}\right) + S(r, g \circ p) \\ &\leq N\left(vr^k, \frac{1}{g}\right) + S(r, g \circ p) = S(r, g \circ p), \end{aligned}$$

where  $v$  is defined as Lemma 6. Since

$$\frac{P'Q - PQ'}{Q^2} = u' = Uu = \frac{UP}{Q},$$

we conclude that

$$P'Q - PQ' = UPQ. \tag{28}$$

Now, writing  $F' = \psi F$  in (28), regarding then (28) as an algebraic equation in  $F$  with coefficients of growth  $S(r, F)$  (in fact  $S(r, w)$ ), and comparing the leading coefficients, we deduce that

$$(s-t)\psi = U.$$

By integrating both sides of the above equality, we conclude that

$$u(z, F) = \alpha F(z)^{s-t}, \tag{29}$$

for some  $\alpha \in \mathbb{C} \setminus \{0\}$ . Therefore, by combing the representations of  $F, B, A, g$  with (29), we conclude that

$$\prod_{i=0}^n w(q^i z)^{\lambda_i} = \alpha \frac{a_s(z)}{b_t(z)} (w \circ p)^{s-t}. \tag{30}$$

If  $st \neq 0$ , we deduce from (15) and (30) that

$$\begin{aligned} \alpha \frac{a_s(z)}{b_t(z)} (w \circ p)^{s-t} &= R(z, w \circ p) \\ &= \frac{a_0(z) + a_1(z)(w \circ p) + \dots + a_s(z)(w \circ p)^s}{b_0(z) + b_1(z)(w \circ p) + \dots + b_t(z)(w \circ p)^t}. \end{aligned}$$

From this, we get that  $R(z, w \circ p)$  is not irreducible in  $w \circ p$ , a contradiction. Thus,  $s = 0$  or  $t = 0$ . Therefore, we deduce from (30) that

$$\prod_{i=0}^n w(q^i z)^{\lambda_i} = \alpha \frac{a_s(z)}{b_0(z)} (w \circ p)^s \quad \text{or}$$

$$\prod_{i=0}^n w(q^i z)^{\lambda_i} = \alpha \frac{a_0(z)}{b_t(z)} \frac{1}{(w \circ p)^t}. \quad (31)$$

Applying Valiron-Mohon'ko theorem [24] to (31), we obtain  $s = n + 1$  or  $t = n + 1$ . Thus, the desired forms (17) are obtained.  $\square$

*Proof of Theorem 6:* Denote  $y(z) = w'(z)/w(z)$ . We conclude from (18) that

$$\sum_{i=1}^n \lambda_i q^i y(q^i z) + (\lambda_0 - m)y(z) = \frac{c'(z)}{c(z)}.$$

Thus, we deduce from Lemma 7 that

$$\sigma(y) \leq \sigma\left(\frac{c'(z)}{c(z)}\right) < \sigma(w).$$

Therefore,

$$\max\left\{\bar{\lambda}(w), \bar{\lambda}\left(\frac{1}{w}\right)\right\} = \lambda\left(\frac{w'}{w}\right) \leq \sigma(y) < \sigma(w).$$

$\square$

**GROWTH OF MEROMORPHIC SOLUTIONS**

At this point, we briefly introduce some notations used below. Let  $I$  be a finite set of multi-indexes  $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_n)$ . A  $q$ -difference monomial of a meromorphic function  $w(z)$  is defined as

$$\prod_{i=0}^n w(q^i z)^{\lambda_i},$$

and a  $q$ -difference polynomial  $H_q(z, w(z))$  of a meromorphic function  $w(z)$ , a finite sum of  $q$ -difference monomials, is defined as

$$H_q(z, w(z)) = \sum_{\lambda \in I} \alpha_\lambda(z) \prod_{i=0}^n w(q^i z)^{\lambda_i}, \quad (32)$$

where the coefficients  $\alpha_\lambda(z)$  are small functions relative to  $w(z)$ . The degree of the  $q$ -difference polynomial (32) is defined by

$$\deg_w(H_q) = \max_{\lambda \in I} \left\{ \sum_{i=0}^n \lambda_i \right\}.$$

For instance, the degree of the  $q$ -difference polynomial  $w^2(z)w(qz)w(q^2z) + w(z)w(q^3z)$  is four.

In follows, we consider the growth of meromorphic solutions of some functional difference equations.

**Theorem 7** Let  $q \in \mathbb{C}$ ,  $|q| > 1$ , and  $w(z)$  be a transcendental meromorphic solution of equation

$$\sum_{\lambda \in I} \alpha_\lambda(z) \prod_{i=0}^n w(q^i z)^{\lambda_i} = R(z, w(z))$$

$$= \frac{a_0(z) + a_1(z)w(z) + \dots + a_s(z)w(z)^s}{b_0(z) + b_1(z)w(z) + \dots + b_t(z)w(z)^t}, \quad (33)$$

where  $I$  is a finite set of multi-indexes  $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_n)$ , and all coefficients in (33) are small meromorphic functions relative to  $w(z)$  such that  $a_s(z)b_t(z) \not\equiv 0$ . If  $d = \max\{s, t\} > (n + 1) \deg_w(H_q)$ , then  $\sigma(w) > 0$ .

**Example 4**  $w(z) = e^z/z$  solves the functional equation

$$f(z)^2 f(-2z) f(4z) + f(-8z)^2 = \frac{1 - 8z^{18} f(z)^{20}}{64z^{18} f(z)^{16}}$$

of type (33). Here,  $q = -2$ ,  $d = 20 > 16 = (3+1)4 = (n + 1) \deg_{H_q}$  and  $\sigma(f) = 1 > 0$ .

In fact, the following Example 5 shows that the assertion of Theorem 7 may occur if  $d = \deg_f(H_q)$ . But we can not find a proper method to prove it.

**Example 5**  $w(z) = \cos z$  solves functional equation

$$w(2z)w(2^2z) = 16w(z)^6 - 24w(z)^4 + 10w(z)^2 - 1$$

of type (33). Here,  $q = 2$ ,  $d = 6 = (2 + 1)2 = (n + 1) \deg_f(H_q)$ , and  $\sigma(f) = 1 > 0$ .

**Theorem 8** Let  $q \in \mathbb{C}$ ,  $|q| > 1$ , and  $w(z)$  be a transcendental meromorphic solution of equation

$$\sum_{\lambda \in I} \alpha_\lambda(z) \prod_{i=0}^n w(q^i z)^{\lambda_i} = R(z, w \circ p)$$

$$= \frac{a_0(z) + a_1(z)(w \circ p) + \dots + a_s(z)(w \circ p)^s}{b_0(z) + b_1(z)(w \circ p) + \dots + b_t(z)(w \circ p)^t}, \quad (34)$$

where  $p(z) = d_k z^k + \dots + d_1 z + d_0$  is a polynomial with constant coefficients  $d_k (\neq 0), \dots, d_1, d_0$  and of degree  $k \geq 2$ ,  $I$  is a finite set of multi-indexes  $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_n)$ , and all coefficients in (34) are small meromorphic functions relative to  $f(z)$  such that  $a_s(z)b_t(z) \not\equiv 0$ . Moreover, we assume that  $kd =$



$k \max\{s, t\} \leq (n+1) \deg_f(H_q)$ , where  $\deg_w(H_q)$  is the degree of  $q$ -difference polynomial (32). Then

$$T(r, w) = O((\log r)^{\alpha+\varepsilon}),$$

where  $\alpha = (\log(n+1) + \log \deg_w(H_q) - \log d) / \log k$ .

We now prepare some lemmas. By denoting  $w_i = w(q^i z)$  ( $i = 0, 1, \dots, n$ ), it is easy to prove the following result from Lemma 3.

**Lemma 8** Let  $q \in \mathbb{C}$ ,  $|q| > 1$ , and  $w(z)$  be a meromorphic function. Then the characteristic function of  $q$ -difference polynomial (32) satisfies

$$T\left(r, \sum_{\lambda \in I} \alpha_\lambda(z) \prod_{i=0}^n w(q^i z)^{\lambda_i}\right) \leq (n+1) \deg_w(H_q) T(|q|^n r, w) + S(r, w).$$

**Lemma 9** ([24, 25]) Let  $g(r)$  and  $h(r)$  be monotone nondecreasing functions on  $[0, \infty)$  such that  $g(r) \leq h(r)$  for all  $r \notin E \cup [0, 1]$ , where  $E \subset (1, \infty)$  is a set of finite logarithmic measure. Let  $\alpha > 1$  be a given constant. Then there exists an  $r_0 = r_0(\alpha) > 0$  such that  $g(r) \leq h(\alpha r)$  for all  $r \geq r_0$ .

**Lemma 10** ([26]) Let  $\psi(r)$  be a function of  $r (r \geq r_0)$ , positive and bounded in every finite interval.

(i) Suppose that  $\psi(\mu r^m) \leq A\psi(r) + B (r \geq r_0)$ , where  $\mu (\mu > 0)$ ,  $m (m > 1)$ ,  $A (A \geq 1)$ ,  $B$  are constants. Then  $\psi(r) = O((\log r)^\alpha)$  with  $\alpha = \log A / \log m$ , unless  $A = 1$  and  $B > 0$ ; and if  $A = 1$  and  $B > 0$ , then for any  $\varepsilon > 0$ ,  $\psi(r) = O((\log r)^\varepsilon)$ .

(ii) Suppose that (with the notation of (i))  $\psi(\mu r^m) \geq A\psi(r) (r \geq r_0)$ . Then for all sufficiently large values of  $r$ ,  $\psi(r) \geq K(\log r)^\alpha$  with  $\alpha = \log A / \log m$  for some positive constant  $K$ .

*Proof of Theorem 7:* Assume in contrary to the assertion that  $w(z)$  is meromorphic with  $\sigma(w) = 0$ . For any  $\varepsilon (0 < \varepsilon < (d - (n+1) \deg_w(H_q)) / (d + (n+1) \deg_w(H_q)))$ , we may apply Valiron-Mohon'ko lemma, Lemma 8, (32) and (33) to conclude that,

$$\begin{aligned} d(1-\varepsilon)T(r, w) &\leq dT(r, w) + S(r, w) \\ &= T\left(r, \frac{a_0(z) + a_1(z)w(z) + \dots + a_s(z)w(z)^s}{b_0(z) + b_1(z)w(z) + \dots + b_t(z)w(z)^t}\right) \\ &= T(r, H_q(z, w(z))) \\ &\leq (n+1) \deg_w(H_q) T(|q|^n r, w) + S(r, w) \\ &\leq (n+1) \deg_w(H_q) (1+\varepsilon) T(|q|^n r, w), \end{aligned}$$

on a set of logarithmic density 1. So, we get

$$\begin{aligned} T(r, w) &\leq \frac{(n+1) \deg_w(H_q)}{d} \left(\frac{1+\varepsilon}{1-\varepsilon}\right) T(|q|^n r, w) \\ &:= \gamma T(|q|^n r, w) \end{aligned}$$

on a set of logarithmic density 1, where

$$\gamma := \frac{(n+1) \deg_w(H_q)}{d} \left(\frac{1+\varepsilon}{1-\varepsilon}\right) < 1,$$

since  $\varepsilon (0 < \varepsilon < (d - (n+1) \deg_w(H_q)) / (d + (n+1) \deg_w(H_q)))$  and the assumption that  $d > (n+1) \deg_w(H_q)$ . Thus, we deduce from Lemma 4 that  $\sigma(w) > 0$ , a contradiction.  $\square$

*Proof of Theorem 8:* For any  $\varepsilon (0 < \varepsilon < 1)$ , we may apply Valiron-Mohon'ko lemma, Lemma 6, Lemma 8, (32) and (34) to conclude that

$$\begin{aligned} d(1-\varepsilon)T(\mu r^k, w) &\leq dT(r, w \circ p) + S(r, w \circ p) \\ &= T\left(r, \frac{a_0(z) + a_1(z)(w \circ p) + \dots + a_s(z)(w \circ p)^s}{b_0(z) + b_1(z)(w \circ p) + \dots + b_t(z)(w \circ p)^t}\right) \\ &\quad + S(r, w) \\ &= T\left(r, \sum_{\lambda \in I} \alpha_\lambda(z) \prod_{i=0}^n w(q^i z)^{\lambda_i}\right) + S(r, w) \\ &\leq (n+1) \deg_w(H_q) T(|q|^n r, w) + S(r, w) \\ &\leq (n+1) \deg_w(H_q) (1+\varepsilon) T(|q|^n r, w) \end{aligned}$$

holds for all sufficiently large  $r$ , possibly outside of an exceptional set of finite logarithmic measure, where  $\mu$  is defined as Lemma 6. Now, we may apply Lemma 9 to deal with the exceptional set, and conclude that, for every  $\eta > 1$ , there exists an  $r_0 > 0$  such that

$$\begin{aligned} d(1-\varepsilon)T(\mu r^k, w) &\leq (n+1) \deg_w(H_q) (1+\varepsilon) T(\eta |q|^n r, w) \quad (35) \end{aligned}$$

holds for all  $r \geq r_0$ . Denoting  $\tau = \eta |q|^n r$ . Then (35) can be written in the form

$$T\left(\frac{\mu}{\eta^k |q|^{nk}} \tau^k, w\right) \leq \frac{(n+1) \deg_w(H_q) (1+\varepsilon)}{d(1-\varepsilon)} T(\tau, w).$$

Since  $dk \leq (n+1) \deg_w(H_q)$ , we get  $(n+1) \deg_w(H_q) (1+\varepsilon) / d(1-\varepsilon) > 1$  for all  $0 < \varepsilon < 1$ . Thus, we now apply Lemma 10 (i) to conclude that

$$T(r, w) = O((\log r)^{\alpha+\varepsilon}),$$

and

$$\begin{aligned}\alpha &= \frac{\log \frac{(n+1)\deg_w(H_q)(1+\varepsilon)}{d(1-\varepsilon)}}{\log k} \\ &= \frac{\log(n+1) + \log \deg_w(H_q) - \log d}{\log k} + o(1).\end{aligned}$$

□

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