



of  $x$  defined by

$$\rho(x) = \sum_{k=1}^{\infty} |x_k|^{p_k},$$

with norm

$$\|x\| = \inf \left\{ \lambda > 0 : \rho\left(\frac{x}{\lambda}\right) \leq 1 \right\}.$$

The space is called a Nakano sequence space.

For  $0 < p_k \leq 1$ , the space  $\ell$  has been studied by various authors, eg.<sup>10, 12, 8-9</sup> The norm so defined, which may be called the Luxemburg norm, differs from the one introduced by Nakano. In fact, Nakano<sup>12</sup> defined the norm of  $x$  as

$$\|x\| = \inf \left\{ \lambda > 0 : \sum_{k=1}^{\infty} \frac{1}{p_k} \left| \frac{x_k}{\lambda} \right|^{p_k} \leq 1 \right\}.$$

Note that, for each  $x \in \ell$ ,  $\sum_{k=1}^{\infty} \frac{1}{p_k} |\lambda x_k|^{p_k} < \infty$  for some

$\lambda > 0$ . Nakano sequence spaces are special cases of Musielak-Orlicz sequence spaces. Results in the paper may give some ideas of how ones should formulate the corresponding results for the more general cases. In Chen<sup>2</sup>, extreme points as well as rotundity and uniform rotundity are studied for Musielak-Orlicz function spaces.

From now on, we assume that the sequence  $\{p_k\}$  is bounded. The following observation will be needed throughout the paper:

- (1)  $\lim_{\delta \rightarrow 1} \rho(\delta x) = \rho(x)$
- (2)  $\|x\|^{p_*} \leq \rho(x) \leq \|x\|^{p^*}$  if  $\|x\| \geq 1$ ,  
 $\|x\|^{p^*} \leq \rho(x) \leq \|x\|^{p_*}$  if  $\|x\| < 1$ ,  
 where  $p_* = \inf_k p_k$  and  $p^* = \sup_k p_k$ ,
- (3)  $\|x\| = 1 \Leftrightarrow \rho(x) = 1$ ,  
 and  
 $\|x_n\| \rightarrow 1 \Leftrightarrow \rho(x_n) \rightarrow 1$ .

We also apply, from time to time, the following notations: For each  $n$ ,  $e_n$  is the standard vector in  $\ell$  defined by  $e_n = (\delta_{mn})_{m=1}^{\infty}$ .

For a vector  $x = (x_k)$  in  $\ell$ , we write for each  $n$ ,

$$x(n) := \sum_{k=1}^n x_k e_k,$$

$$x(n, \infty) := \sum_{k>n} x_k e_k.$$

**Results** In what follows,  $X$  will stand for a Banach space.

### EXTREME POINTS AND ROTUNDITY

**Lemma 1**  $x \in \text{Ext } B(\ell)$  if and only if

- (i)  $\rho(x) = 1$ , and
- (ii)  $\mu\{k : x_k \neq 0 \text{ and } p_k = 1\} \leq 1$ ,

where  $\mu$  is the counting measure on  $Z^+$

**Proof** ( $\Rightarrow$ ) It is clear that each  $e_n$  satisfies (i) and (ii). Now let  $x$  be an extreme point with  $\mu\{k : x_k \neq 0\} \geq 2$ . Suppose, without loss of generality, that  $p_1 = p_2 = 1$ ,  $x_1 \neq 0$  and  $x_2 \neq 0$ . Thus  $|x_1|, |x_2| \in (0, 1)$ . Choose  $\varepsilon > 0$  such that  $|x_1| - \varepsilon > 0$  and  $|x_2| - \varepsilon > 0$ . Let  $y = (y_k)$  and  $z = (z_k)$  where

$$(Y_k, Z_k) = \begin{cases} (x_k + \varepsilon \text{sgn } x_k, x_k - \varepsilon \text{sgn } x_k), & \text{if } k=1, \\ (x_k - \varepsilon \text{sgn } x_k, x_k + \varepsilon \text{sgn } x_k), & \text{if } k=2, \\ (x_k, x_k), & \text{otherwise.} \end{cases}$$

Hence  $2x = y + z$ ,  $\|y\| \leq 1$ ,  $\|z\| \leq 1$ , a contradiction and we have (ii). To prove (i) we suppose on the contrary that

$$\lim_{\delta \uparrow 1} \rho(\delta x) = r < 1.$$

Choose  $\varepsilon > 0$  so small that  $|x_1 \pm \varepsilon|^{p_1} < |x_1|^{p_1} + \frac{1-r}{2}$ .

Let  $y_1 = x_1 + \varepsilon$ ,  $z_1 = x_1 - \varepsilon$ , and  $y_k = x_k = z_k$  for all  $k \geq 2$ . Then  $2x = y + z$ ,

$$\rho(\delta y), \rho(\delta z) < \rho(\delta x) + \frac{1-r}{2}$$

for all  $\delta < 1$ . By (1) we have  $\|y\| \leq 1$  and  $\|z\| \leq 1$ , a contradiction.

( $\Leftarrow$ ) Assume that  $x$  satisfies (i) and (ii). Suppose that  $2x = y + z$  for some  $y, z \in B(\ell)$ . Thus  $x_k \neq 0$  for at least 2  $k$ , say  $x_1 \neq 0$ ,  $x_2 \neq 0$ , and then  $p_1 \neq 1$  or  $p_2 \neq 1$ , say  $p_1 \neq 1$ . For some  $\varepsilon$ ,  $y_1 = x_1 + \varepsilon$ ,  $z_1 = x_1 - \varepsilon$ , and say,

$$|x_1|^{p_1} < \frac{|y_1|^{p_1} + |z_1|^{p_1}}{2}.$$

Write  $p > 0$  for the difference of these two numbers. Now

$$\frac{\rho}{2} + \rho(\delta x) < \sum_{k=1}^{\infty} \frac{|\delta y_k|^{p_k} + |\delta z_k|^{p_k}}{2} \leq \frac{1+1}{2} = 1$$

for all  $0 < \delta < 1$ . This implies  $\rho(\delta x) < 1 - \frac{\rho}{2}$  for all  $0 < \delta < 1$ , and therefore,

$$\lim_{\delta \uparrow 1} \rho(\delta x) < 1$$

contradicting (i).

We now immediately have

**Theorem 2**  $\ell$  is rotund if and only if  $p_k = 1$  for at most one  $k$ .

We consider now a more general type of rotundity.

For  $k \geq 1$ ,  $X$  is a **k-rotund** (kR) space if for vectors  $x_1, \dots, x_{k+1}$  in  $S(X)$  with  $\|x_1 + \dots + x_{k+1}\| = k + 1$ , the set  $\{x_1, \dots, x_{k+1}\}$  is linearly dependent.

**Theorem 3**  $\ell$  is kR if and only if  $\mu\{k : p_k = 1\} \leq k$ .

**Proof** ( $\Rightarrow$ ) Suppose  $p_1 = \dots = p_{k+1} = 1$ . Consider the independent set  $\{e_1, \dots, e_{k+1}\}$  of elements in  $S(\ell)$ . We see that  $\|e_1 + \dots + e_{k+1}\| = k + 1$ .

( $\Leftarrow$ ) Suppose  $\|x_1\| = \dots = \|x_{k+1}\| = 1$ ,  $\|x_1 + \dots + x_{k+1}\| = k + 1$  and  $x_1, \dots, x_{k+1}$  are linearly independent. Writing  $x_i = (x_{i1}, x_{i2}, \dots)$ , by independence of  $x_i$ , there are  $j_1, \dots, j_{k+1}$  such that for each  $j_m$ ,  $x_{ij_m} \neq 0$  for some  $i$ . Otherwise,

$$\det \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_{k+1} \end{bmatrix} = 0$$

a contradiction.

Suppose  $j_m = m$  for  $m = 1, \dots, k + 1$ . Note that  $p_m \neq 1$  for some  $m$ , say,  $p_1 \neq 1$ . Put

$$x_0 = x_1 + \dots + x_{k+1}.$$

Then  $\|x_0\| = k + 1$ ,  $\|\frac{x_0}{k+1}\| = 1$ , and  $\rho(\frac{x_0}{k+1}) < 1$ . Now

$$\begin{aligned} 1 &= \sum_j \left| \frac{x_{0j}}{k+1} \right|^{p_j} = \left| \frac{x_{01}}{k+1} \right|^{p_1} + \sum_{j>1} \left| \frac{x_{0j}}{k+1} \right|^{p_j} \\ &< \frac{|x_{11}|^{p_1} + |x_{21}|^{p_1} + \dots + |x_{k+1,1}|^{p_1}}{k+1} + \sum_{j>1} \frac{|x_{1j}|^{p_j} + \dots + |x_{k+1,j}|^{p_j}}{k+1} \\ &= \sum_{j>1} \frac{|x_{1j}|^{p_j} + \dots + |x_{k+1,j}|^{p_j}}{k+1} = \frac{\rho(x_1) + \dots + \rho(x_{k+1})}{k+1} = 1, \end{aligned}$$

a contradiction.

**Remark 4**  $R \Leftrightarrow 1R$ .

**Proof** ( $\Rightarrow$ ) If  $\|x_1\| = \|x_2\| = 1$  and  $\|x_1 + x_2\| = 2$ , then

$x := (x_1 + x_2)/2 \in S(\ell)$ . Now  $2x = x_1 + x_2$  implies  $x_1 = x_2$ , and thus  $x_1$  and  $x_2$  are linearly dependent.

( $\Leftarrow$ ) Let  $\|x\| = 1$ ,  $\|y\| \leq 1$ ,  $\|z\| \leq 1$ , and  $2x = y + z$ . From  $2 = \|y + z\| \leq \|y\| + \|z\| \leq 2$ , we have  $\|y\| = \|z\| = 1$ , and thus  $y = cz$  for some  $c$ . Now  $1 = \|y\| = |c|\|z\| = |c|$ , we have  $c = 1$ .

From Remark 4 we see that Theorem 2 becomes a corollary of Theorem 3.

### UNIFORM $\lambda$ -PROPERTY

Recall that

$$(4) \quad \lambda(\ell) = \inf\{\rho(x) : \rho(x) = 1\}.$$

**Theorem 5**  $\ell$  has the uniform  $\lambda$ -property if and only if  $\mu\{k : p_k = 1\} < \infty$ . In general, we have  $\lambda(\ell) = 1/\mu\{k : p_k = 1\}$ .

**Proof** Put  $w = \mu\{k : p_k = 1\}$ .

( $\Rightarrow$ ) Suppose  $w = \infty$ , i.e.  $p_k = 1$  for infinitely many  $k$ . For convenience assume  $p_k = 1$  for all  $k$ . Let  $0 < r < 1$  and choose  $x = (x_k)$  such that  $0 < x_k \leq r$  for all  $k$  and  $\rho(x) = 1$ . If  $x = \lambda a + (1-\lambda)y$  for some  $a = (a_k) \in \text{Ext } B(\ell)$ ,  $y \in B(\ell)$  and some  $\lambda \in (0, 1]$ , then, by Lemma 1,  $a_{k_0} = 1$  for some  $k_0$  and  $a_k = 0$  otherwise.

We see that  $\lambda \neq 1$  since  $x$  is not an extreme point. Now

$$\sum_{k \neq k_0} |y_k| = \frac{1}{1-\lambda} \sum_{k \neq k_0} x_k = \frac{1-x_{k_0}}{1-\lambda}.$$

Since  $y \in B(\ell)$ ,  $\frac{1-x_{k_0}}{1-\lambda} + |y_{k_0}| \leq 1$ . From this we have  $\lambda \leq x_{k_0} \leq r$ . Therefore  $\lambda(x) \leq r$ , and then  $\lambda(\ell) = 0$ , a contradiction.

( $\Leftarrow$ ) In case  $w = 1$  the assertion is clear since  $S(\ell) = \text{Ext } B(\ell)$ . Suppose  $p_1 = \dots = p_w = 1$  and  $p_k > 1$  ( $k > w > 1$ ). To show first  $\lambda(\ell) \geq \frac{1}{w}$ . Take any  $x$  with  $\rho(x) = 1$ . Suppose, for convenience, that  $|x_1| = \max_{1 \leq k \leq w} |x_k|$ . Put  $a = |x_1| + \dots + |x_w|$ . If  $a = 0$ , then  $x \in \text{Ext } B(\ell)$  and  $\lambda(x) = 1$ . Otherwise, put  $\lambda = \frac{|x_1|}{a}$ . If  $\lambda = 1$ , again  $x \in \text{Ext } B(\ell)$  and we are done. Now put

$$y = (0, \frac{x_2}{1-\lambda}, \dots, \frac{x_w}{1-\lambda}, x_{w+1}, x_{w+2}, \dots)$$

and

$$c = (b, 0, \dots, 0, x_{w+1}, x_{w+2}, \dots),$$

where  $c_k = 0$  for  $2 \leq k \leq w$ ,  $|b| = a$ , and  $\frac{|x_1|}{a} b = x_1$ .

Thus

$$x = \lambda c + (1 - \lambda)y,$$

$$\rho(c) = |b| + \sum_{k>w} |x_k|^{p_k} = \sum_{k \geq 1} |x_k|^{p_k} = 1, \quad c \in \text{Ext } B(\ell),$$

and

$$\begin{aligned} \rho(y) &= \frac{|x_2| + \dots + |x_w|}{1-\lambda} + \sum_{k>w} |x_k|^{p_k} = \frac{a - |x_1|}{1-\lambda} + \sum_{k>w} |x_k|^{p_k} \\ &= a + \sum_{k>w} |x_k|^{p_k} = \rho(x) = 1. \end{aligned}$$

Thus  $\lambda(x) \geq \lambda = \frac{|x_1|}{a} \geq \frac{1}{w}$ , and by (4)  $\lambda(\ell) \geq \frac{1}{w}$ .

To complete the proof, we now show that

$\lambda(\ell) \leq \frac{1}{w}$ . Let

$$x = \sum_{k=1}^w \frac{1}{w} e_k. \text{ If } x = \lambda c + (1 - \lambda) y \text{ for some } \lambda \in$$

$[0,1]$ ,  $c \in \text{Ext } B(\ell)$  and  $y \in B(\ell)$ , then  $c_1 \neq 0$ , say, and  $c_k = 0$  otherwise. Also for some  $a_k$ ,

$$y = \sum_{k=1}^w a_k e_k, \frac{1}{w} \geq a_1 = \frac{1-\lambda}{1-\lambda}, a_2 = \dots = a_w = \frac{1}{w(1-\lambda)}.$$

Since  $\|y\| \leq 1$ ,

$$\rho(y) = \frac{1-\lambda}{1-\lambda} + \frac{w-1}{w(1-\lambda)} \leq 1.$$

Note that  $a_1 \geq 0$ . Now

$$\lambda = \frac{1 - a_1}{1 - a_1} \leq \frac{1}{w}.$$

Thus  $\lambda(x) \leq \frac{1}{w}$ , and we have  $\lambda(\ell) \leq \frac{1}{w}$ .

### H-PROPERTY

$X$  is said to have the **property (H)** if each point of  $S(X)$  is an H-point of  $B(X)$ , that is, every weak convergence of points  $x_n$  in  $B(X)$  to a point in  $S(X)$  with  $\|x_n\| \rightarrow 1$  is a convergence in norm.

**Theorem 6**  $\ell$  has property H.

**Proof** Let  $x_0 \in S(\ell)$ ,  $x_n \in B(\ell)$  be such that

$x_n \xrightarrow{w} x_0$ . We observe that

(a)  $x_{nk} \rightarrow x_{0k}$  for all  $k$ ,

and

(b)  $\|x_n\| \rightarrow \|x_0\| = 1$ .

To show  $x_n \rightarrow x_0$ , we show that for each  $\lambda \in (0,1)$ , there exists  $N_\lambda$  such that

$$(c) \quad \sum_{k>N_\lambda} \left| \frac{x_{nk} - x_{0k}}{\lambda} \right|^{p_k} \leq 1$$

for all large  $n$ . Obviously, since  $x_n \rightarrow x_0$  pointwise, the convergence  $x_n \rightarrow x_0$  follows from (c). To prove (c) we suppose on the contrary that there exists an increasing sequence of natural numbers  $N_n, N_n \rightarrow \infty$ , and  $\lambda_0 \in (0,1)$  such that

$$\sum_{k>n} \left| \frac{x_{N_n k} - x_{0k}}{\lambda_0} \right|^{p_k} > 1$$

for all  $n$ . Thus  $\sum_{k>n} |x_{N_n k} - x_{0k}|^{p_k} > \lambda_0^{p^*}$  for all  $n$

where  $p^* = \sup_k p_k$ . Put  $\epsilon_0 = \lambda_0^{p^*} / 4^{p^*+1}$ ,  $y_n = (x_n + x_0)/2$ . Choose  $N_0$  so that

$$\sum_{k>N_0} |x_{0k}|^{p_k} < \epsilon_0.$$

Thus

$$\sum_{k \leq N_0} |x_{0k}|^{p_k} \geq 1 - \epsilon_0,$$

and thus for all  $n \geq N_0$  we have

$$(d) \quad \sum_{k>n} |x_{N_n k}|^{p_k} \geq \sum_{k>n} \frac{|x_{N_n k} - x_{0k}|^{p_k}}{2^{p^*}} - \sum_{k>n} |x_{0k}|^{p_k} \geq 4\epsilon_0 - \epsilon_0 = 3\epsilon_0.$$

Choose  $n_0$ , by (a), so large that for each  $n \geq n_0$ ,

$$(e) \quad \sum_{k \leq N_0} |x_{nk}|^{p_k} > \sum_{k \leq N_0} |x_{0k}|^{p_k} - \epsilon_0 > 1 - 2\epsilon_0.$$

Take  $n' > N_0$  so that  $N_{n'} > n_0$  and (d) holds for  $n'$ . Therefore

$$\begin{aligned} (f) \quad \rho(x_{N_{n'}}) &= \left( \sum_{k \leq N_0} + \sum_{k>N_0} \right) |x_{N_{n'} k}|^{p_k} \\ &\geq \left( \sum_{k \leq N_0} + \sum_{k>n'} \right) |x_{N_{n'} k}|^{p_k} \\ &> (1 - 2\epsilon_0) + 3\epsilon_0 = 1 + \epsilon_0, \end{aligned}$$

a contradiction. Hence we have (c).

**Remark 7** The proof of Theorem 6 yields more than its statement. More precisely, it shows that if  $x_n$  (in

the unit ball) converges pointwise to  $x$  (in the unit sphere), then  $\|x_n - x\| \rightarrow 0$ . This fact will be needed later, in the proof of Theorem 16 and 21.

**UNIFORM CONVEXITY**

$X$  is **uniformly convex** (UC) if for any  $\epsilon > 0$ , there exists  $\delta > 0$  such that for  $x, y \in S(X)$ , inequality

$$\|x - y\| \geq \epsilon \text{ implies } \frac{\|x + y\|}{2} < 1 - \delta.$$

**Theorem 8**  $\ell$  is UC if and only if  $\inf_{k \neq k_0} p_k > 1$  for some  $k_0$ .

**Lemma 9** Let  $K > 1$  and  $f(p) = f_K(p) = (1 + \frac{1}{K})^{p+1}$

$(1 - \frac{1}{K})^p - 2$  ( $p \geq 1$ ). Then  $f$  is a strictly increasing function on  $[1, \infty)$ .

**Proof** Note that  $f(1) = 0$ ,

$$f'(p) = (1 + \frac{1}{K})^p \log((1 + \frac{1}{K}) + (1 - \frac{1}{K})) \log(1 - \frac{1}{K}),$$

$$f''(p) = (1 + \frac{1}{K})^p \log^2(1 + \frac{1}{K}) + (1 - \frac{1}{K})^p \log^2(1 + \frac{1}{K}) > 0.$$

To show  $f'(1) > 0$ . For then  $f > 0$  on  $(1, \infty)$  by convexity. Putting  $y = x \log x$ , we see that

$$y' = 1 + \log x, \quad y'' = \frac{1}{x} > 0.$$

The convexity implies  $(1 + x) \log(1 + x) + (1 - x) \log(1 - x) > 2(1 \log 1) = 0$  ( $0 < x < 1$ ).

**Remark 10** From Lemma 9 we derive the following estimations.

(a) For  $0 \leq \frac{a}{K} < \epsilon \leq a$ , and  $p \geq p_* > 1$ ,

$$\begin{aligned} (a + \epsilon)^p + (a - \epsilon)^p - 2a^p &= a^p [(1 + \frac{\epsilon}{a})^p + (1 - \frac{\epsilon}{a})^p - 2] \\ &\geq a^p [(1 + \frac{1}{K})^p + (1 - \frac{1}{K})^p - 2] \\ &\geq a^p f(p_*) \geq \epsilon^p f(p_*). \end{aligned}$$

(b) For  $0 \leq a < \epsilon \leq Ka$ , and  $p \geq p_* > 1$ ,

$$\begin{aligned} (\epsilon + a)^p + (\epsilon - a)^p - 2a^p &\geq \epsilon^p [(1 + \frac{\epsilon}{a})^p + (1 - \frac{\epsilon}{a})^p - 2] \\ &\geq \epsilon^p f(p_*). \end{aligned}$$

(c) For  $0 \leq Ka < \epsilon$ , and  $p > 1$ ,

$$\begin{aligned} (\epsilon + a)^p + (\epsilon - a)^p - 2a^p &\geq (\epsilon + a)^p + (\epsilon - a)^p - 2(\frac{\epsilon}{K})^p \\ &= \epsilon^p [(1 + \frac{a}{\epsilon})^p + (1 - \frac{a}{\epsilon})^p - \frac{2}{K^p}] \\ &\geq \epsilon^p (2 - \frac{2}{K^p}). \end{aligned}$$

**Proof of Theorem 8**

(Case  $p_{n_k} \rightarrow 1$ ) Put  $x_k = e_{n_k}, Y_k = p_{n_{k+1}}$ . Thus  $\|x_k - y_k\| \rightarrow 2$ , but

$$\rho(\frac{x_k + y_k}{2}) = (\frac{1}{2})^{p_{n_k}} + (\frac{1}{2})^{p_{n_{k+1}}} \rightarrow 1.$$

(Case  $p_1 = p_2 = 1$ ) This is clear, since UC implies R.

(Case  $\inf_k p_k = p_* > 1$ ) Let  $K > 1$  be a fixed number to be chosen appropriately later. Let  $f = f_K$  be as defined in Lemma 9. Suppose  $x_n, y_n \in S(\ell), \|x_n - y_n\| \geq \epsilon_0$  for some  $\epsilon_0 > 0$  and

$$\| \frac{x_k + y_k}{2} \| := \| z_n \| \rightarrow 1.$$

Write  $z_n = (a_{n_k}), \epsilon_{n_k} = |x_{n_k} - a_{n_k}|$ . Thus  $x_{n_k} = a_{n_k} \pm \epsilon_{n_k}$  and  $x_{n_k} = a_{n_k} \pm \epsilon_{n_k}$  if and only if  $y_{n_k} = a_{n_k} \mp \epsilon_{n_k}$ . Given

$\epsilon > 0$ , choose  $K > 1$  so that  $\frac{1}{K} < \epsilon$ .

Put  $\gamma = \min \{f(p_*), 2 - \frac{2}{K}\}$ . Write, for each  $n$ ,

$\sum_{<} = \sum_{<, K}$  (and  $\sum_{\geq}$ ) for the summations corresponding to  $k$  for which

$$\epsilon_{n_k} < \frac{|a_{n_k}|}{K} \quad (\text{respectively, } \epsilon_{n_k} \geq \frac{|a_{n_k}|}{K}).$$

Note that

$$(d) \quad |a_{n_k} + \epsilon_{n_k}|^{p_k} + |a_{n_k} - \epsilon_{n_k}|^{p_k} - 2|a_{n_k}|^{p_k} = (|a_{n_k}| + \epsilon_{n_k})^{p_k} + |a_{n_k} - \epsilon_{n_k}|^{p_k} - 2|a_{n_k}|^{p_k},$$

(e) for some  $\lambda_0 > 0, \rho(x_n - y_n) = \sum_k (2\epsilon_{n_k})^{p_k} \geq \lambda_0$  for all  $n$ ,

and (f)

$$\sum_k (|a_{n_k} + \epsilon_{n_k}|^{p_k} + |a_{n_k} - \epsilon_{n_k}|^{p_k} - 2|a_{n_k}|^{p_k}) = \rho(x_n) + \rho(y_n) - 2\rho(z_n) \rightarrow 0.$$

By (d), (a), (b), (c), and (f) we have

$$\sum_k (\epsilon_{n_k})^{p_k} = (\sum_{<} + \sum_{\geq}) (\epsilon_{n_k})^{p_k} \leq \frac{1}{K^{p_*}} + \frac{1}{\gamma} (\rho(x_n) + \rho(y_n) - 2\rho(z_n)) < \epsilon + \epsilon$$

for all large  $n$ , contradicting (e).

(Case  $p_1 = 1, \inf_{k \geq 2} p_k = P_* > 1$ ) Let  $x_n, y_n, z_n, a_{nk}$ , and  $\epsilon_{nk}$  be as above. By passing through subsequences we may assume that  $x_{n_1} \rightarrow a$  and  $y_{n_1} \rightarrow b$  for some

$a, b$ . Put  $c = \frac{(a+b)}{2}$ . Thus,

$$\begin{aligned} \rho(x_n(1, \infty)) &\rightarrow A := 1 - |a|, \\ \rho(y_n(1, \infty)) &\rightarrow B := 1 - |b|, \\ \rho(z_n(1, \infty)) &\rightarrow C := 1 - |c|. \end{aligned}$$

Note that  $A + B = 2C$ . As before we have,

$$\sum_{k \geq 2} (\epsilon_{nk})^{p_k} \leq \frac{1}{K^{p_*}} + \frac{1}{\gamma} (\rho(x_n(1, \infty)) + \rho(y_n(1, \infty)) - 2\rho(z_n(1, \infty)))$$

which leads to

$$(g) \quad \sum_{k \geq 2} (\epsilon_{nk})^{p_k} \rightarrow 0 \text{ as } n \rightarrow \infty.$$

Since  $2C = A + B$ , we see that  $|a + b| = |a| + |b|$ , i.e.  $ab > 0$ . Suppose  $a \geq b > 0$ . From (g) and (e) we obtain  $|a - b| > 0$ . Therefore  $a > b \geq 0$ , and so  $A < C < B$ .

Choose  $L > 1$  so that  $\eta := (1 + \frac{1}{L})^{p_*} - 1 < \frac{B-C}{C}$ , where  $p_* = \sup_k p_k$ . By (g) we have

$$(h) \quad \sum_{k \geq 2, \geq L} (|a_{nk} + \epsilon_{nk}|)^{p_k} \leq (1+L)^{p_*} \sum_{k \geq 2} (\epsilon_{nk})^{p_k} \rightarrow 0 \text{ as } n \rightarrow \infty.$$

On the other hand we have

$$(i) \quad \sum_{k \geq 2, \geq L} (|a_{nk} + \epsilon_{nk}|)^{p_k} \leq (1+\eta) \sum_{k \geq 2} |a_{nk}|^{p_k} \rightarrow (1+\eta)C \text{ as } n \rightarrow \infty.$$

But then (h) and (i) imply

$$\limsup_{n \rightarrow \infty} (\sum_{k \geq 2} (|a_{nk} + \epsilon_{nk}|)^{p_k}) \leq (1+\eta)C$$

which leads to a contradiction since

$$\limsup_{n \rightarrow \infty} (\sum_{k \geq 2} (|a_{nk} + \epsilon_{nk}|)^{p_k}) \geq \limsup_{n \rightarrow \infty} (\sum_{k \geq 2} |y_{nk}|^{p_k} = B) > (1+\eta)C.$$

**PROPERTY  $\beta$**

$X$  has the property  $\beta$  if for any  $\epsilon > 0$ , there exists  $\delta > 0$  such that

$$\alpha(D(x, B(X)) \setminus B(X)) < \epsilon$$

whenever  $1 < \|x\| < 1 + \delta$ . Here  $\alpha$  is the Kuratowski measure of non-compactness on subsets of  $X$ , and

$$D(x, B(X)) = \text{co}(\{x\} \cup B(X)),$$

the drop determined by  $x$ .

We will make use of the following equivalent form of property  $\beta$ . (See<sup>9</sup>)

$X$  has property  $\beta$  if and only if for each  $\epsilon > 0$ , there exists  $\delta > 0$  such that for each  $x$  in  $B(X)$  and each sequence  $\{x_n\}$  in  $B(X)$  with  $\text{sep}(x_n) \geq \epsilon$ , there

exists  $k$  such that  $\| \frac{x+x_k}{2} \| \leq 1 - \delta$ .

Here

$$\text{sep}(x_n) := \inf_{m \neq n} \|x_m - x_n\|.$$

**Theorem 11**  $\ell$  has property  $\beta$  if and only if  $\liminf_{k \rightarrow \infty} p_k > 1$ .

**Proof** If  $p_k \rightarrow 1$ , then for each  $n_0$ , choose  $k_0$  such that

$$(\frac{1}{2})^{p_k} > \frac{1}{2} - \frac{1}{n_0} \text{ for all } k \geq k_0. \text{ Let } x_k = e_k (k \geq k_0)$$

and let  $x = e_{k_0}$ . We see that  $\text{sep}(x_k) \geq \frac{1}{2}$ . But

$$\| \frac{x+x_k}{2} \| \geq (\frac{1}{2})^{p_k} + (\frac{1}{2})^{p_{k_0}} > 1 - \frac{2}{n_0} \text{ for all } k \geq k_0,$$

violating the property  $\beta$ .

To prove the converse, suppose

$$p_1 = \dots = p_{m_0} = 1 \text{ and } \inf_{k \neq 1, \dots, m_0} p_k > 1.$$

Note that the space

$$\ell^1 := \ell^{(1, p_{m_0+1}, p_{m_0+2}, \dots)}$$

is UC and hence has property  $\beta$ . Thus given  $\epsilon > 0$ , we take a  $\delta > 0$  such that for each sequence  $x_n$  in

$B(\ell^1)$  and  $x \in S(\ell^1)$  with  $\text{sep}(x_n) \geq \frac{\epsilon}{2}$ , we have

$$\| \frac{x+x_k}{2} \| \leq 1 - \delta \text{ for some } k. \text{ Now let } x_n \in B(\ell^1)$$

with  $\text{sep}(x_n) \geq \epsilon$ . Passing through a subsequence if necessary, we assume  $x_{n_i} \rightarrow a_i$  for each  $i = 1, \dots, m_0$ . Again, passing through a tail of the sequence we

assume that  $\text{sep}(x'_n) \geq \frac{\epsilon}{2}$  where  $x'_n = \sum_{k \geq 1} x_{n(m_0+k)} e_{k+1}$ .

Let  $x_0 \in S(\ell)$  and put  $a = |a_1| + \dots + |a_{m_0}|$  and  $b = |x_{01}| + \dots + |x_{0m_0}|$ . Writing, for example,  $(b, x'_0)$  for the vector  $be_1 + \sum_{k \geq 1} x_{0(m_0+k)} e_{k+1}$ . It is clear that  $(b, x'_0) \in B(\ell)$  and  $\text{sep}((a, x'_n)) \geq \frac{\epsilon}{2}$ . To see that  $(a, x'_n) \in B(\ell)$  for all large  $n$  we let  $\lambda > 1$  and estimate  $\rho(\frac{a, x'_n}{\lambda})$ . Since  $x_{ni} \rightarrow a_i$  ( $i = 1, \dots, m_0$ ), and writing  $\rho(a, x'_n) = \rho(x_n) + \epsilon_n$ , we see that  $\epsilon_n \rightarrow 0$ . Thus, since  $\rho(\frac{x_n}{\lambda}) < 1$ ,

$$\rho(\frac{a, x'_n}{\lambda}) = \rho(\frac{x_n}{\lambda}) + \epsilon_n \leq 1 \text{ for all large } n \text{ as desired.}$$

Now by the definition of  $\delta$  we have for infinitely many  $k$ ,

$$\left\| \frac{(b, x'_0) + (a, x'_k)}{2} \right\| \leq 1 - \delta.$$

If  $\lambda > 1 - \delta$ , then  $\left| \frac{a+b}{2\lambda} \right| + \rho\left(\frac{x'_0 + x'_k}{2\lambda}\right) < 1$ , which in turn implies

$$\frac{\left| \frac{a_1 + x_{01}}{2} \right| + \dots + \left| \frac{a_{m_0} + x_{0m_0}}{2} \right|}{\lambda} + \rho\left(\frac{x'_0 + x'_k}{2\lambda}\right) < 1.$$

This means that for sufficiently large  $k$ ,

$$\left\| \frac{x_0 + x_k}{2} \right\| \leq 1 - \delta.$$

### UNIFORM KADEC-KLEE PROPERTY

$X$  is said to have the **uniform Kadec-Klee property** (UKK) if for any  $\epsilon > 0$ , there exists  $\delta > 0$  such that for any  $x_n \in B(X)$  with  $x_n \rightarrow x$  weakly and  $\text{sep}(x_n) \geq \epsilon$ , we have  $\|x\| < 1 - \delta$ .

**Theorem 12**  $\ell$  has property UKK.

**Proof** The proof of this Theorem follows the same lines as of the proof of [1, Theorem 3.17]. We repeat here just to present some (minor) differences. Let  $0 < \epsilon < 1$  and put

$$\beta = \left(\frac{\epsilon}{4}\right)^{p^*}$$

where  $p^* = \sup_k p_k$ . Choose  $0 < \delta < 1$  so that  $(1 - \delta)^{p^*} > 1 - \beta$ . Now if  $x_n \in B(\ell)$  with  $\text{sep}(x_n) \geq \epsilon$  and  $x_n \xrightarrow{w} x$ , we show that  $\|x\| < 1 - \delta$ . Suppose not, we choose  $K \in \mathbb{Z}^+$  such that  $\|x(K)\| > 1 - \delta$ . Recall that  $x(K)$  denotes the truncation of  $x$  at  $K$ , that is,  $x(K) = \sum_{k=1}^K x_k e_k$ , whereas its complement  $x(K, \infty)$  is the vector  $\sum_{k>K} x_k e_k$ . Next we choose  $N$  such that

$$\|x_n(K)\| > 1 - \delta \text{ and } \|(x_n - x_m)(K)\| \leq \frac{\epsilon}{2} \text{ (} m, n > N, m \neq n \text{)}. \text{ This can be done since } x_n \rightarrow x \text{ pointwise. The first inequality implies, by (3), that } \rho(x_n(K)) > 1 - \beta, \text{ while the second one implies } \rho((x_n - x_m)(K, \infty)) \geq \frac{\epsilon}{2}.$$

From the last estimation we may assume  $\|x_n(K, \infty)\| \geq \frac{\epsilon}{4}$ . Again, by (3), we have

$$\rho(x_n(K, \infty)) \geq \|x_n(K, \infty)\|^{p^*} \geq \left(\frac{\epsilon}{4}\right)^{p^*} = \beta.$$

Thus,  $\rho(x_n) > 1$ , a contradiction.

### NEARLY UNIFORM CONVEXITY

$X$  is **nearly uniformly convex** (NUC) if for any  $\epsilon > 0$ , there exists  $\delta \in (0, 1)$  such that for every sequence  $\{x_n\}$  in  $B(X)$  with  $\text{sep}(\{x_n\}) \geq \epsilon$ , we have

$$\text{co}(\{x_n\}) \cap (1 - \delta)B(X) \neq \emptyset.$$

**Theorem 13** [3, Theorem]  $\ell$  is NUC if and only if  $\liminf_{k \rightarrow \infty} p_k > 1$ .

Huff<sup>8</sup> proved that  $X$  is NUC if and only if  $X$  is reflexive and  $X$  has the property UKK. Thus, by Theorem 12 and 13, we have the following corollary.

**Corollary 14**  $\ell$  is reflexive if and only if

$$\liminf_{k \rightarrow \infty} p_k > 1.$$

### UNIFORM K - ROTUNDITY

$X$  is a **uniformly rotund** (UR) space if for any  $x_n, y_n \in B(X)$ ,  $\|x_n + y_n\| \rightarrow 2$  implies  $x_n - y_n \rightarrow 0$ .

X is **uniformly k-rotund** (UkR) ( $k \geq 1$ ) provided that for each  $\epsilon > 0$ , there exists  $\delta > 0$  such that any  $x_0, x_1, \dots, x_k \in B(X)$ , the inequality

$$\|x_0 + x_1 + \dots + x_k\| \geq (k + 1) - \delta$$

implies  $\Delta(x_0, x_1, \dots, x_k) < \epsilon$ , where

$$\Delta(x_0, x_1, \dots, x_k) = \sup_{f_i \in B(X^*)} \Delta(x_0, x_1, \dots, x_k; f_1, f_2, \dots, f_k),$$

and

$$\Delta(x_0, x_1, \dots, x_k; f_1, f_2, \dots, f_k) = \det \begin{pmatrix} 1 & 1 & \dots & 1 \\ f_1(x_0) & f_1(x_1) & \dots & f_1(x_k) \\ \vdots & \vdots & \dots & \vdots \\ f_k(x_0) & f_k(x_1) & \dots & f_k(x_k) \end{pmatrix}.$$

X is **LukR** ( $k \geq 1$ ) if for any  $x \in S(X)$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that for  $x_1, \dots, x_k \in B(X)$  with

$$\|x + x_1 + \dots + x_k\| \geq (k + 1) - \delta,$$

we have  $\Delta(x, x_1, \dots, x_k) < \epsilon$ .

**LOCAL UNIFORM ROTUNDITY**

X is a **locally uniform rotund** (LUR) space if every point of  $S(X)$  is a URP of  $B(X)$ , that is, for each  $x \in S(X)$ , if  $x_n \in B(X)$  and  $\|x_n + x\| \rightarrow 2$ , then  $x_n \rightarrow x$ .

Replacing the convergence in norm by the weak one for each  $x \in S(X)$ , we obtain a **weakly locally uniformly rotund** (WLUR) space.

Clearly, UC and UR are same property. Also LUIR  $\Leftrightarrow$  LUR.

**Theorem 15**  $\ell$  is UkR if and only if  $\inf_{n \in F} p_n > 1$   $\inf_{n \in F} P_n$  for some finite set F having at most k elements.

**Proof** (Case  $p_1 = p_2 = \dots = p_k = p_{k+1} = 1$ ) Let  $x_0 = e_1, x_1 = e_2, \dots, x_k = e_{k+1}$ . It is seen that  $\|x_0 + x_1 + \dots + x_k\| = k + 1$ . Write  $\pi_i$  for the  $i^{th}$  projection on  $\ell$ . Therefore,  $\Delta(x_0, x_1, \dots, x_k; \pi_1, \pi_2, \dots, \pi_k) = 1$ .

(Case  $p_n \rightarrow 1$  for some subsequence  $\{p_n\}$ ) Assume, for convenience, that  $p_n \rightarrow 1$ . Consider the sequence  $\{e_n\}$ . We have  $\|e_n + e_{n+1} + \dots + e_{n+k}\| \rightarrow k + 1$  as  $n \rightarrow \infty$ , whereas  $\Delta(e_n, e_{n+1}, \dots, e_{n+k}; \pi_{n+1}, \pi_{n+2}, \dots, \pi_{n+k}) = 1$ .

The above two examples show that  $\ell$  is not UkR in the first two cases.

(Case  $\inf_{n \neq 1, \dots, k_0} p_n > 1$  for some  $k_0 \leq k$ ) Let  $x^n_0, x^n_1, \dots, x^n_k \in B(\ell)$  and

$$\|x^n_0 + x^n_1 + \dots + x^n_k\| \rightarrow k + 1 \text{ as } n \rightarrow \infty.$$

To show  $\Delta(x^n_0, x^n_1, \dots, x^n_k) \rightarrow 0$ , we shall prove that

(a)  $\sum_{j=1}^k (x^n_{ij} - x^n_{0j}) \rightarrow 0$  ( $i = 1, \dots, k$ ),

and

(b)  $\rho((x^n_1 - x^n_0)(k_0, \infty)) \rightarrow 0$  ( $i = 1, \dots, k$ ).

Observe that, for  $f_1, \dots, f_k \in B(\ell^*)$ ,

(c)

$$\Delta(x^n_0, x^n_1, \dots, x^n_k; f_1, f_2, \dots, f_k) \leq \det \begin{pmatrix} f_1(x^n_{11} - x^n_{01}) & \dots & f_1(x^n_{1k} - x^n_{0k}) \\ \vdots & \dots & \vdots \\ f_k(x^n_{k1} - x^n_{01}) & \dots & f_k(x^n_{kk} - x^n_{0k}) \end{pmatrix}$$

$$+ M \sum_{j=1}^k \rho((x^n_j - x^n_0)(k_0, \infty)), (k_0, \infty)),$$

where  $M = k!2^k$ .

Taking (a) and (b) for granted we see that

$$\Delta(x^n_0, x^n_1, \dots, x^n_k) \rightarrow 0 \text{ as } n \rightarrow \infty.$$

Note from  $\|x^n_0 + x^n_1 + \dots + x^n_k\| \rightarrow k + 1$ , that

$$\|x^n_i\| \rightarrow 1, \|x^n_i + x^n_j\| \rightarrow 2 \text{ for all } i, j.$$

Now (b) is easily obtained as in the proof of Theorem 8. Again, as in the proof of (h) and (i) in the proof of Theorem 8, we obtain from (b) that

$$\rho(x^n_i(k_0, \infty)) - \rho(x^n_0(k_0, \infty)) \rightarrow 0 \text{ as } n \rightarrow \infty \text{ (} i = 1, \dots, k \text{)}.$$

Now (a) is immediate.

**Theorem 16**  $\ell$  is LUR if and only if  $p_k > 1$  for all k or  $\inf_{k \neq k_0} p_k > 1$  some  $k_0$ .

**Lemma 17** If  $x_0 \in S(\ell)$ ,  $x_n \in B(\ell)$  for all n, and  $\|x_n + x_0\| \rightarrow 2$ , then  $x_{nk} \rightarrow x_{0k}$  for each k where  $p_k > 1$ .



**Proof** Put  $y_n = (x_n + x_0)/2$ . Suppose  $p_1 > 1$ . If, for some  $\epsilon_0 > 0$ ,  $|x_{n1} - x_{01}| \geq \epsilon_0$  for infinitely many  $n$ , then there exists  $\lambda_0 > 0$  such that

$$|y_{n1}|^{p_1} + \lambda_0 \leq \frac{|x_{n1}|^{p_1} + |x_{01}|^{p_1}}{2} \text{ for all such } n.$$

Thus

$$\rho(y_n) + \lambda_0 \leq (\rho(x_n) + \rho(x_0)) / 2 \leq 1,$$

which implies

$$\|y_n\| \not\rightarrow 1,$$

a contradiction.

**Lemma 18** For  $p_1 = 1$  and  $\inf_{k \geq 2} p_k > 1$ , if the norms of  $y_n$  in the proof of Lemma 17 converge to 1, then  $x_{n1} \rightarrow x_{01}$ .

**Proof** The proof is the same as the proof of Theorem 8 (Case  $p_1 = 1$ ,  $\inf_{k \geq 2} p_k > 1$ ). Observe that  $\|x_n\| \rightarrow 1$ .

**Proof of Theorem 16**

Suppose there are 2  $p_k$ , say  $p_1$  and  $p_2$  that are equal to 1. Then  $\ell$  is not R by Theorem 2.

Next suppose  $p_1 = 1$ , say, and  $p_k \rightarrow 1$ , otherwise pass to a subsequence. Let  $x_0 = e_1$ ,  $x_n = e_n$ . Thus  $x_0, x_n \in S(\ell)$ ,

$$Y_n := \frac{x_n + x_0}{2} = \frac{1}{2}e_1 + \frac{1}{2}e_n, \rho(Y_n) = \frac{1}{2} + \left(\frac{1}{2}\right)^{p_n} \rightarrow 1.$$

Therefore  $\|x_n + x_0\| = 2\|y_n\| \rightarrow 2$ , but  $x_n \not\rightarrow x_0$ .

Conversely, suppose  $p_k > 1$  for all  $k$  or  $\inf_{k \neq k_0} p_k > 1$  for some  $k_0$ . Let  $x_0 \in S(\ell)$ ,  $x_n \in B(\ell)$  and  $\|x_n + x_0\| \rightarrow 2$ . By Lemma 17 and 18 we have

$$x_{nk} \rightarrow x_{0k} \text{ for all } k,$$

and

$$\|y_n\| \rightarrow \|x_0\| = 1.$$

We can prove that  $x_n \rightarrow x_0$  by applying Remark 7.

Since  $\ell$  has property H, we clearly have

**Corollary 19** WLUR is equivalent to LUR on  $\ell$ .

**Theorem 20**  $\ell$  is LUKR if and only if  $\ell$  is UKR or  $p_n > 1$  for all  $n$ .

**Proof** (Case  $p_1 = p_2 = \dots = p_k = p_{k+1} = 1$ ) Employ the same example as of the UKR case.

(Case  $p_{k_0} = 1$  for some  $k_0$  and  $p_{m_n} \rightarrow 1$ ) Suppose

$p_1 = 1$ . Let  $x_0 = e_1$  and  $x_n = e_{m_n}$ . Note that

$$\|x_0 + x_{n+1} + \dots + x_{n+k}\| \rightarrow k + 1 \text{ as } n \rightarrow \infty.$$

Hence,  $\ell$  is not LUKR.

(Case  $p_n > 1$  for all  $n$ ) Let  $x_0 \in S(\ell)$  and  $x^n_1, \dots, x^n_k \in B(\ell)$ . If  $\|x_0 + x^n_1 + \dots + x^n_k\| \rightarrow k + 1$ , then  $\|x_0 + x^n_i\| \rightarrow 2$  for all  $i$ . By locally uniform rotundity of  $\ell$  (Theorem 16),  $\|x^n_i - x_0\| \rightarrow 0$  for all  $i$ . It is clear now that  $\Delta(x_0, x^n_1, \dots, x^n_k) \rightarrow 0$  as  $n \rightarrow \infty$ .

**MID-POINT LOCALLY UNIFORM ROTUNDITY AND UNIFORM ROTUNDITY IN EVERY DIRECTION**

$X$  is mid - point locally uniformly rotund (MLUR) if for any  $x \in S(X)$  and  $x_n, y_n \in B(X)$  with  $x_n + y_n \rightarrow 2x$  imply  $x_n - y_n \rightarrow 0$ .

$X$  is uniformly rotund in every direction (URED) if, for any  $x_n, z \in X$  with  $\|x_n\| \rightarrow 1$ ,  $\|x_n + z\| \rightarrow 1$  and  $\|2x_n + z\| \rightarrow 2$  imply  $z = 0$ .

**Theorem 21**  $\ell$  is MLUR if and only if  $p_k = 1$  for at most one  $k$ .

**Proof** ( $\Rightarrow$ ) This is clear, since MLUR implies R.

( $\Leftarrow$ ) Suppose  $p_1 = 1$ ,  $p_k > 1$  for all  $k \geq 2$ . Let  $x_n, y_n \in B(\ell)$ ,  $x_0 \in S(\ell)$  and  $x_n + y_n \rightarrow 2x_0$ . Note that  $\|x_n\| \rightarrow 1$ ,  $\|y_n\| \rightarrow 1$ ,  $\|x_n + x_0\| \rightarrow 2$  and  $\|y_n + x_0\| \rightarrow 2$ . Lemma 17 implies that  $x_{nk} \rightarrow x_{0k}$  and  $y_{nk} \rightarrow x_{0k}$  for all  $k \geq 2$ . Now given any subsequence  $n'$  of  $n$  we choose a subsequence  $n''$  of  $n'$  so that  $x_{n''k} \rightarrow w_{0k}$  and  $y_{n''k} \rightarrow z_{0k}$  for all  $k \geq 1$ , where  $w_{0k} = x_{0k} =$

$z_{0k}$  for  $k \geq 2$ . Note that  $\frac{w_{01} + z_{01}}{2} = x_{01}$ . Since  $\rho(x_n) \leq 1$  and  $\rho(y_n) \leq 1$ , we must have  $\rho(w_0) \leq 1$  and  $\rho(z_0) \leq 1$ . And from  $\rho(x_0) = 1$  we then have  $\rho(w_0) = \rho(z_0) = 1$ . So  $w_{01} = x_{01} = z_{01}$  as well. By Remark 7,  $x_{n''} - y_{n''} \rightarrow 0$  and therefore  $x_n - y_n \rightarrow 0$  as desired.

**Theorem 22**  $\ell$  is URED if and only if  $p_k = 1$  for at most one  $k$ .

**Proof** ( $\Rightarrow$ ) Follows from Theorem 2.

( $\Leftarrow$ ) Suppose  $\|x_n\| \rightarrow 1, \|x_n + z\| \rightarrow 1, \|x_n + \frac{z}{2}\| \rightarrow 1$ , but  $z \neq 0$ . Thus  $z_k \neq 0$  for some  $k$  with  $p_k > 1$ , say  $k = 1$ . There exists  $\lambda_0 > 0$  such that

$$\left\| x_{n1} + \frac{z_1}{2} \right\|^{p_1} = \left\| \frac{x_{n1}}{2} + \frac{x_{n1} + z_1}{2} \right\|^{p_1}$$

$$< \left\| \frac{x_{n1}}{2} \right\|^{p_1} + \left\| \frac{x_{n1} + z_1}{2} \right\|^{p_1} - \lambda_0$$

for all large  $n$ . For these  $n$ ,

$$\rho(x_n + \frac{z}{2}) \leq \frac{1}{2} \rho(x_n) + \frac{1}{2} \rho(x_n + z) - \lambda_0 \leq 1 - \lambda_0.$$

Hence  $\lim_n \rho(x_n + \frac{z}{2}) \neq 1$ , a contradiction.

Therefore  $z = 0$ .

**FULL CONVEXITY AND WEAK UNIFORM ROTUNDITY**

For  $k \geq 2$ ,  $X$  is **fully  $k$ -convex** ( $kC$ ) if for every sequence  $\{x_n\}$  in  $B(X)$  with  $\|x_{n_1} + \dots + x_{n_k}\| \rightarrow k$  as  $n_1, \dots, n_k \rightarrow \infty$ , the sequence  $\{x_n\}$  is convergent.

$X$  is **weakly uniform rotund** ( $WUR$ ) if for any  $x_n, y_n \in B(X), \|x_n + y_n\| \rightarrow 2$  implies  $x_n - y_n \rightarrow 0$  weakly.

**Theorem 23**  $\ell$  is  $kC$  if and only if  $\inf_{n \neq n_0} p_k > 1$  for some  $n_0$ .

**Proof** ( $\Rightarrow$ ) If  $p_1 = p_2 = 1$ , say, consider the sequence  $e_1, e_2, e_1, e_2, e_1, e_2, \dots$ .

If  $p_{n'} \rightarrow 1$  for some subsequence  $\{n'\}$ , then consider the sequence  $\{e_{n'}\}$ . Therefore,  $\ell$  is not  $kC$  for each of these two cases.

( $\Leftarrow$ ) Follows from the uniform rotundity of  $\ell$  (Theorem 15).

**Theorem 24**  $\ell$  is  $WUR$  if and only if  $\ell$  is  $UR$ .

**Proof** If  $p_1 = p_2 = 1$ , say, let  $x_n = e_1, y_n = e_2$ . If  $p_n \rightarrow 1$ , let  $x_n = e_n, y_n = e_{n+1}$ .

**DROP PROPERTY**

$X$  has the **drop property** ( $D$ ) if for every closed set  $C$  with  $C \cap B(X) = \emptyset$ , there exists element  $x \in C$  such that

$$D(x, B(X)) \cap C = \{x\}.$$

**Theorem 25**  $\ell$  has property  $D$  if and only if

$$\liminf_{k \rightarrow \infty} p_k > 1.$$

**Proof** Assume, instead of considering a subsequence,

$p_k \rightarrow 1$ . Let  $x_1 = 2e_1, x_2 = e_1 + \frac{1}{2}e_2$ , and in general, let

$$x_n = \frac{1}{2^{n-2}}e_1 + \sum_{k=1}^{n-1} \frac{1}{2^k}e_{n+1-k}.$$

Put  $C = \{x_n : n \geq 1\}$ . It is clear that  $C$  is a closed set,  $C \cap B(\ell) = \emptyset$ , and for each  $n$ , since  $x_{n+1} = \frac{1}{2}x_n$

$+ \frac{1}{2}e_{n+1}$ , we see that  $x_k \in D(x_n, B(\ell))$  ( $k \geq n$ ).

Thus  $\ell$  does not have property  $D$  in this case. For the other case,  $\ell$  is  $NUC$  by Theorem 13, and hence has property  $D$ .

**Remark 26** Observe that, for the set  $C$  in the proof above,  $\inf_{x \in C} \|x\| = 1$ . In fact, for any Banach space  $X$ , any closed set  $C$  which does not overlap with  $B(X)$ , if for some  $x \in C$ ,

$$\inf \{ \|y\| : y \in D(x, B(X)) \cap C \} > 1,$$

then we must have

$$A(y) := D(y, B(X)) \cap C = \{y\}$$

for some  $y \in C$ .

**Proof** Suppose there is no such point  $y \in C$  for some point  $x_0 \in C$ . Put  $\alpha_0 = \inf_{y \in A(x_0)} \|y\| > 1$ . Take  $x_1 \in A(x_0)$  so that  $\|x_1\| \leq \alpha_0 + 1$ , and put  $\alpha_1 = \inf_{y \in A(x_1)} \|y\|$ . Clearly  $\alpha_1 \geq \alpha_0$ . By induction, we can find a sequence  $\{x_n\}$  in  $C$  such that

$$x_{n+1} \in A(x_n) \text{ and } \|x_{n+1}\| \leq \alpha_n + \frac{1}{2^n}$$

where  $\alpha_n = \inf_{y \in A(x_n)} \|y\|$ .

Writing  $x_{n+1} = r_n x_n + (1 - r_n)t_n$  as a convex combination of  $x_n$  and some point  $t_n \in B(\ell)$ , we see that

$$\alpha_0 \leq \alpha_{n+1} \leq \alpha_n \leq \|x_{n+1}\| \leq r_n (\alpha_{n-1} + \frac{1}{2^{n-1}}) + (1 - r_n).$$

This implies

$$r'_n := 1 - r_n \leq \frac{1}{(\alpha_0 - 1)2^{n-1}},$$

and then

$$\|x_{n+1} - x_n\| \leq r'_n (\|x_n\| + 1) \leq \frac{\|x_0\| + 1}{(\alpha_0 - 1)2^{n-1}}.$$

The sequence  $\{x_n\}$  is then a Cauchy sequence, and converges to some point  $c \in C$ . We show that  $A(c) = \{c\}$  which is a contradiction. If  $x \in A(c)$ , then  $\alpha_n \leq \|x\| \leq \|c\|$  for all  $n$ . But then  $\|x\| = \|c\|$  and  $x = c$  since  $x \in A(c)$ .

**FINAL REMARK**

$X$  is said to have **property G** if every point of  $S(X)$  is a **denting point** of  $B(X)$ , that is,

$$x \notin \overline{\text{co}(B(X) \setminus (x + \varepsilon B(X)))}$$

for all  $x \in S(X)$  and all  $\varepsilon > 0$ .

$X$  is said to have **property K** if the weak topology and norm topology on  $S(X)$  are equivalent.

From the relation

$$G \Leftrightarrow K + R,$$

and the property H of  $\ell$ , we see that property G and property R are equivalent on the Nakano sequence space  $\ell$ .

We have seen that the boundedness of the sequence  $\{p_k\}$  is required for every geometric property considered. It is interesting to see what properties having the boundedness of  $\{p_k\}$  as their necessary condition.

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